SECTION II

PROGRAM INITIATIVES

U.S. Army
Army Medical Department Property Accounting System (AMEDDPAS)

Description   The Army Medical Department Property Accounting System (AMEDDPAS) is the property book accounting system for medical equipment, maintenance, scheduling and appointments.

The System Decentralization (SPC-10) is a Year 2000 (Y2K) compliance initiative that rewrites, tests and implements the AMEDDPAS system from the Defense Mega Center (DMC) Rock Island and St. Louis mainframe to the DMLSS servers.

The SPC-10 Y2K initiative will focus on :

- improving data accuracy
- ensuring AMEDDPAS records and computes date information properly after December 31, 1999
- eliminating mainframe processing at DMC Rock Island and St. Louis

Title and Description of Nonconformance   The SPC-10 initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of :

- data accuracy
- ability to integrate with other communities

Source of Discovery of Nonconformance   The action that prompted the SPC-10 initiative was the inability of current AMEDDPAS programs to compute dates after December 31, 1999.

Objectives   The SPC-10 initiative will produce results in the following areas:

- implement year 2000 (Y2K) compliant system
- become compliant with regulations
- correct data inaccuracy

Responsible Manager	The AMEDDPAS and SCP-10 office of primary responsibility and responsible manager are: MEDCOM <u>Program Manager</u> CPT Mark Miller DSN: 471-0096 Comm: Fax: E-mail: MARK_MILLER@medcom2.stmplink.amedd.army.mil

Functional Category	The SCP-10 is a system level initiative that impact the following functions: • Inventory Tracking and Accounting • Operating Costs • Resource Planning and Reporting

Milestones	The following milestones apply to the Army Medical Department Property Accounting System's SPC-10 (Y2K) initiative:

Action	Begin Date	End Date
Need established	Jul 97	May 98
Correct software programs	Jul 97	Apr 98
Test System	Nov 97	May 98
Implement System	May 98	May 98
SCP-10	Jul 95	
Rewrite System using Informix 4GL	Nov 95	Nov 97
Field System	Nov 97	Jul 98

Benefits	The qualitative benefits of the SCP-10 initiative are to: • allows accurate processing of date information after December 31,199 • lowers operating costs

U.S. Army
Army General and Mission Equipment Working Group-CFO Compliance and
Real Property Integrated Process Team-CFO Compliance (RP-IPT)

Description

The Army General and Mission Equipment Working Group-CFO Compliance is a joint working group that includes key Army and Defense Finance and Accounting Service (DFAS) representation to help ensure successful and accurate reporting of general and mission equipment in the Army.

The Army General and Mission Equipment Working Group-CFO Compliance initiative will focus on:

- overall issues of accounting and reporting on the Army's investment in both general and mission equipment
- determining how to apply definitions and intentions to applicable National Defense and General Property, Plant, and Equipment (PP&E) in the Army environment
- identifying data sources to quantify and value the Army investment in National Defense and General PP&E

The Real Property Integrated Process Team (RP-IPT) – CFO Compliance is a joint working group that includes key Army and Defense Finance and Accounting Service (DFAS) representation to assist in ensuring the successful and accurate reporting of land, buildings and structures. The RP-IPT determines approaches and solutions for meeting existing and future reporting requirements for the Army's real property. Specific issues addressed by the RP-IPT include:

- overall issues of accounting for and reporting of the Army's investment in land, buildings and structures
- determining how to apply definitions for Stewardship Reporting
- identifying data sources to quantify and value the Army's investment in land, buildings and structures

The RP-IPT is currently in the process of determining and implementing changes needed to existing systems to meet the reporting requirements for real property.

Title and Description of Nonconformance	The Army General and Mission Equipment Working Group-CFO Compliance initiative corrects weaknesses that have a critical impact on financial operations or data that involves the following areas: • data accuracy • internal controls • compliancy with regulations • ability to integrate with other communities
Objectives	The Army General and Mission Equipment Working Group-CFO Compliance initiative will produce results in determining key elements, possible approaches, and solutions for meeting existing and future reporting requirements for Army equipment.
Responsible Manager	The Army General and Mission Equipment Working Group-CFO Compliance initiative's office of primary responsibility and responsible manager are: ASA (FM&C) and DCSLOG Program Manager Don Sketo DSN: Comm: (703) 697-6147 Fax: E-mail: sketod@hqda.army.mil
Functional Category	The Army General and Mission Equipment Working Group-CFO Compliance initiative is a cross functional level initiative that impacts the following functions: • Cost Accounting • Inventory Tracking and Accounting • Procurement

Milestones   The following milestones apply to the Army General and Mission
                        Equipment Working Group-CFO Compliance initiative:

Action	Begin Date	End Date
Need established	Aug 97	Aug 97
Charter	Aug 97	Aug 97
Establish focus work groups	Oct 97	Oct 97
Identify problem area and potential solutions	Oct 97	on-going
Initiate corrective action	Oct 97	on-going

Benefits   A qualitative benefit for the Army General and Mission Equipment
                       Working Group-CFO Compliance initiative is to improve the Army's
                       ability to comply with requirements of the Chief Financial Officer's Act
                       for clean financial statements on the status of Army National Defense
                       and General PP&E.

U.S. Army
Chief Financial Officer's (CFO's) Strategic Plan and Senior Level Steering Group

Description

The U.S. Army is currently developing an Army-wide strategic plan for implementation of the Chief Financial Officer's (CFO's) Act. The purpose of the act is to improve business processes, integrate functional and financial systems, and obtain an unqualified audit opinion on the Army's financial statements. A contractor is facilitating the effort that involves representatives from key Army and DoD elements.

The CFO's Strategic Plan initiative will focus on the following:

- reengineering business processes
- integrating with other communities

The Senior Level Steering Group (SLSG) was created in FY 1993 as a direct result of the initial audits of the Army's financial statements prepared under the Chief Financial Officers (CFO) Act. It is composed of the principal deputies of the Army Staff and Secretariat. Their mission is to address issues raised by the auditors, and develop approaches to meet the requirements of the CFO Act.

The SLSG continues to be an important senior oversight group in the Army for full implementation of the CFO Act. In addition, their responsibilities have been expanded in his annual statement of assurance on management controls, and for the review of selected and potential Army-level material weaknesses.

Title and Description of Nonconformance

The CFO Strategic Plan initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and or manual intervention in the following areas:

- internal controls
- ability to integrate with other communities

The lack of auditable financial statements and the lack of integrated functional and financial systems precludes managers from having timely accurate information with which to make decisions.

Source of Discovery of Nonconformance

The following actions prompted the CFO Strategic Plan initiative:

- general process improvement
- CFO Act requirements

Objectives

The CFO Strategic Plan initiative will produce results in the following areas:

- integration of feeder systems
- improvement of internal controls

The CFO Strategic Plan initiative will result in auditable financial statements with an unqualified audit opinion. This process will not by itself integrate feeder systems or improve internal controls, but will identify what needs to be accomplished to achieve results.

Responsible Manager

The CFO Strategic Plan initiative office of primary responsibility and responsible manager are:

Assistant Secretary of the Army, Financial Management and Comptroller
<u>Program Manager</u>
Michael Powers

DSN:
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Fax:
E-mail: powermt@hqda.army.mil

Functional Category
The CFO Strategic Plan initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Receivables and Collections
- Procurement
- Travel Pay
- Transportation Pay
- Personal and Real Property Tracking and Accounting
- Civilian Pay
- Personnel
- Military Pay
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

Milestones
The following milestones apply to the CFO Strategic Plan initiative:

Action	End Date
Kick-Off Meeting	May 98
Second Meeting	Jun 98
Third Meeting	Jul 98
Final Meeting	Sep 98
Completion Final Report	Sep 98

Benefits
The following are qualitative benefits of the CFO Strategic Plan initiative:

- identifies all impediments to obtaining an unqualified audit opinion
- details the actions required to be taken by both functional and financial communities to obtain an unqualified audit opinion

U.S. Army
Commodity Command Standard System (CCSS)

Description

The Commodity Command Standard System (CCSS) is the logistics behind the process of planning, implementing, and controlling the efficient, effective flow and storage of goods, services, and related information from the point of origin to the point of consumption, for the purpose of conforming to customer requirements. Management includes inbound, outbound, internal, and external movements and return of materials for environmental purposes processed by approximately 280 CCSS applications.

The CCSS will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- consolidating locations
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance

The CCSS corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, or other criminal activities that go undetected in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the CCSS:

- non-compliance with regulations
- audit findings
- general process improvement

Objectives   The CCSS will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager   The CCSS office of primary responsibility and responsible manager are:

HQ AMC
Program Manager
Mike Ivey

DSN: 645-9638
Phone:
Fax:
E-mail:

Functional Category   The CCSS is a system that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Security Assistance
- Receivables and Collections
- Procurement
- Transportation Pay
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Debt Management
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

The CCSS is part of the larger initiative, Logistics Modernization.

Supporting System Architecture

The CCSS receives source data from and transmits data to the following systems:

Source and Destination	Rating of Source and Transmitted Data
Continuing Balance System Expanded (CBS-X)	Sometimes Timely and Sometimes Accurate
Standard Depot System (SDS)	Sometimes Timely and Sometimes Accurate
Corps of Engineers Financial Management System (CEFMS) and Defense Joint Accounting System (DJAS)	Sometimes Timely and Sometimes Accurate
Data Element Management Accounting Report (DELMARS)	Sometimes Timely and Sometimes Accurate
Defense Property Accountability System (DPAS)	Sometimes Timely and Sometimes Accurate
Distribution Standard System (DSS)	Sometimes Timely and Sometimes Accurate
Logistics Intelligence File (LIF)	Sometimes Timely and Sometimes Accurate
Standard Operations and Maintenance Army Research and Development System (SOMARDS)	Sometimes Timely and Sometimes Accurate
Standard Finance System – Redesign I (SRD-I)	Sometimes Timely and Sometimes Accurate
Total Asset Visibility (TAV)	Sometimes Timely and Sometimes Accurate

U.S. Army
Continuing Balance System Expanded Requisition Validation (CBS-X)/REQVAL

Description

The Continuing Balance System Expanded Requisition Validation (CBS-X)/REQVAL was designed primarily as the Army asset database for major items of equipment. The CBS-X will be modified to allow for proactive reject correction from the field and for automated reconciliation of data with property books. The CBS-X allows for frequent reconciliation and will require less manpower. A number of other changes are expected to result in vastly improved accuracy of data and allow compatibility (accuracy) percentage match between CBS-X and property books to meet the 98 percent goal of the Army. CBS-X has been Year 2000 (Y2K) compliant since 1996. It is currently being integrated into the Army Logistics Integrated Database (LIDB) system, which is also Y2K compliant.

The modified CBS-X initiative will focus on the improvement of data accuracy within the environment.

Title and Description of Nonconformance

The modified CBS-X initiative corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, and other criminal activities that go undetected, in the following areas:

- data accuracy
- compliance with regulations

Source of Discovery of Nonconformance

The following actions prompted the modified CBS-X initiative:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives

The modified CBS-X initiative will produce results in the following areas:

- compliancy with regulations
- correct data inaccuracy

Responsible Manager

The modified CBS-X initiative office of primary responsibility and responsible manager are:

ODCSLOG (LOGSA, Major Item Center)
<u>Program Manager</u>
Kenneth L. Hensley

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Functional Category

The modified CBS-X is a function level initiative that impacts, Inventory Tracking and Accounting.

The modified CBS-X initiative is part of the larger initiative, Chief Financial Officers' (CFO) Act Compliance.

Supporting System Architecture

The modified CBS-X initiative receives source data from the following systems:

- Standard Property Book System-Redesign (SPBS-R)
- future Global Combat Support System-Army (GCSS-A)

The modified CBS-X initiative transmits data to the following systems:

- Commodity Command Standard System (CCSS)
- Document Entry system (DES) and Total Asset Visibility (TAV)
- Total Army Equipment Distribution List (TAEDP)/Army Flow Model

The modified CBS-X initiative should result in all transmitted data for the above systems achieving a timely and accurate rating.

Milestones

The following milestones apply to the modified CBS-X initiative:

Action	Begin Date
Reconciliation Automation (End Date Dependent on Funding)	Jun 98

The earliest completion date for modified CBS-X initiative is speculated to be the end of FY 1999, if full funding is available.

Benefits
The qualitative benefits of the modified CBS-X initiative are not readily measurable but will result in more accurate Chief Financial Officer (CFO) reporting. There will be an improvement in the Army's ability to distribute and redistribute equipment. Some savings will result, but are extremely difficult to project at this time.

U.S. Army
Corps of Engineers Financial Management System (CEFMS)

Description

The Corps of Engineers Financial Management System (CEFMS) initiative was established to reduce Finance and Accounting (F&A) personnel throughout the Corps. The CEFMS is a financial management system that fully integrates Corps business processes and supports the management of all types of work and funds. The CEFMS incorporates electronic signature capability and source data entry.

The CEFMS consolidation initiative will focus on the following:

- Reengineering business processes
- Consolidating and standardizing systems
- Consolidating location
- Standardizing and warehousing data
- Integrating with other communities
- Accessing internal controls
- Improving infrastructure (personnel)
- Improving data accuracy

Source of Discovery of Nonconformance

The following actions prompted the CEFMS consolidation initiative:

- general process improvement
- compliance with paper reduction act
- compliance with Government Performance and Results Act (GPRA)
- compliance with Chief Financial Officers' (CFO) Act
- modernize systems
- reduce data repetition
- increase internal controls

Objectives

The CEFMS consolidation initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulation
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls
- provide real time financial management information to managers

**Responsible
Manager**

The CEFMS consolidation initiative office of primary responsibility and responsible manager are:

U.S. Army Corps of Engineers Finance Center
Program Manager
James Green

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E-mail: James R.Green@USCE.Army.mil

**Functional
Category**

The CEFMS consolidation initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Time and Attendance
- Receivables and Collections
- Procurement
- Travel Pay
- Non-Appropriated Funds Accounting
- Personal and Real Property Tracking and Accounting
- Civilian Pay
- Working Capital Fund Accounting
- Debt Management
- Personnel
- Accounts Payable
- Disbursing, and Resource Planning and Reporting

The CEFMS consolidation initiative is related to the following:

- Financial Management
- Finance
- Project Management
- Logistics
- Real Estate
- Human Resources

Supporting System Architecture

The CEFMS consolidation initiative receives source data from the following systems:

Source	Data Supplied	Rating
Army Civilian Personnel System (ACPERS)	Defense Civilian Personnel Database	Timely and Accurate

The CEFMS consolidation initiative transmits data to the following systems:

Destination	Data Supplied	Rating
Standard Army Automated Contracting System (SAACONS)	PR&C, Obligations, Inventory, Receivable Report	Timely and Accurate
Integrated Automated Travel System (IATS)	Travel Settlements	Timely and Accurate
Defense Civilian Payroll System (DCPS)	Civil Personnel Payroll	Timely and Accurate
Program/Project Management Information System (PROMIS)	Project Management Data	Timely and Accurate

Milestones

The following milestones apply to the CEFMS consolidation initiative:

Action	Begin Date	End Date
Milestone IV (Maintenance)	Mar 98	On-going

Benefits

A qualitative benefit for the CEFMS consolidation initiative is the reduction of F&A personnel throughout the Corps.

U.S. Army
Create On-Line Procurement System (COPS)

Description   The Create On-Line Procurement System (COPS) is the primary process at the Initial Operational Capability (IOC) for funding production at the Army Materiel Command (AMC) Arsenals. The COPS distributes funding to the arsenals and receives execution data from the arsenal systems for central billing.

The COPS will focus on the following:

- consolidating and standardizing systems
- consolidating locations
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance   The COPS corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, and other criminal activities that go undetected in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance   The following actions prompted the COPS initiative:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives   The COPS will produce results in the following areas:

- compliancy with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The COPS office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

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Comm:
Fax:
E-mail:

Functional Category

The COPS impacts the following functions:

- Security Assistance
- Receivables and Collections
- Procurement
- Working Capital Fund Accounting
- Debt Management
- Resource Planning and Reporting

The COPS is part of the larger initiative, Logistics Modernization Plan.

Supporting System Architecture

The COPS receives source data from the following system:

Source	Rating
Standard Depot System (SDS)	Sometimes Timely and Sometimes Accurate

The COPS transmits data to the following system:

Destination	Rating
Standard Depot System (SDS)	Sometimes Timely and Sometimes Accurate

U.S. Army
Electronic Time Keeping System (ETS)

Description

The Electronic Time Keeping System (ETS) is scheduled to be replaced by the Automated Time, Attendance, and Production System (ATAAPS). The ETS replacement initiative enters time and attendance (T&A) data into the Defense Civilian Payroll System (DCPS).

The ETS replacement initiative will focus on the following:

- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance

The ETS replacement initiative corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, and other criminal activities that go undetected in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the ETS replacement initiative:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives

The ETS replacement initiative will produce results in the following areas:

- compliancy with regulations
- correct data inaccuracy
- integrate feeder systems
- improve of internal controls

Responsible Manager

The ETS replacement initiative office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

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Fax:
E-mail:

Functional Category

The ETS replacement initiative is a function level initiative that impacts, Disbursing.

The ETS replacement initiative is to be replaced by the larger initiative, Automated Time, Attendance, and Production System (ATAAPS).

Supporting System Architecture

The ETS replacement initiative receives source data from and transmits data to the following systems:

- Standard Depot System (SDS)
- Standard Operations and Maintenance Army Research Development System (SOMARDS)
- Defense Civilian Pay System (DCPS)

U.S. Army
Global Combat Support System - Army (GCSS-A) Tier 1

Description   The Global Combat Support System – Army (GCSS-A) is a long term improvement project and will be the business automation enabler for the Army's Combat Service Support (CSS) mission area and will constitute the Army portion of the GCSS-A.

The GCSS-A automation initiative supports Force XXI, the revolution in military logistics, and is expected to be the catalyst for the CSS automation initiative that will support the Army After Next.

The GCSS-A automation initiative supports the CSS functions of the manning, arming, fixing, fueling, moving, and sustaining of soldiers and their systems. The GCSS-A automation initiative is being developed concurrently in the following three tiers:

- Tier 1 refers to the system at the tactical level
- Tier 2 refers to the system at the wholesale level
- Tier 3 refers to the system at the joint level

The GCSS-A automation initiative will provide all the functionality that is available today in the following systems:

- Standard Army Retail Supply System (SARSS)
- Unit Level Logistics System-Ground (ULLS-G)
- Unit Level Logistics System (ULLS-S4)
- Department of the Army Movement Management System (DAMMS-R)
- Standard Property Book System – Redesign (SPBS-R)
- Standard Army Ammunition System (SAAS)
- Standard Army Intermediate Level Supply System (SAILS)
- Integrated Logistics Analysis Program (ILAP)
- Standard Army Maintenance System (SAMS)

The GCSS-A automation initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The GCSS-A automation initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- the ability to integrate with other communities

The GCSS-A automation initiative also corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the following areas:

- internal controls
- compliancy with regulations

Source of Discovery of Nonconformance

The following actions prompted the GCSS-A automation initiative:

- non-compliance with regulations
- audit findings
- general process improvements
- Training and Doctrine Command (TRADOC) Operational Requirements Document (ORD) dated February 1997

Objectives

The GCSS-A automation initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager
The GCSS-A automation office of primary responsibility and responsible manager are:

Combined Arms Support Command (CASCOM)
Program Manager
Pete Johnson

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Functional Category
The GCSS-A automation initiative is a cross functional level initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Personal and Real Property Tracking and Accounting
- Resource Planning and Reporting

The GCSS-A automation initiative is part of the larger initiative, Global Combat Support System - Joint.

Milestones
The following milestones apply to the GCSS-A automation initiative:

Action	Begin Date
Need established	Feb 97
Milestone 0/I/II	May 97
Milestone III (GCSS-A Tier 1)	Sep 99

Benefits
The GCSS-A Tier 1 initiative will improve effectiveness by integrating feeder systems and facilitating research of logistics and related financial transactions. It will improve business processes by integrating logistics processes with related functional areas such as finance and personnel.

U.S. Army
Headquarters Application System (HAS)

Description   The Headquarters Application System (HAS) is the primary process at
                         Initial Operational Capability (IOC) for funding maintenance at the
                         Army Material Command (AMC) depots and billing the depots'
                         customers. The HAS distributes funding to the depots and receives
                         execution data from the Standard Depot System (SDS) for central
                         billing.

                         The HAS will focus on the following:

                         • consolidating and standardizing systems
                         • consolidating locations
                         • integrating with other communities
                         • assessing internal controls
                         • improving infrastructure (personnel)
                         • improving data accuracy

**Title and
Description of
Nonconformance**   The HAS corrects weaknesses that have a critical impact on financial
                         operations or data that violates statutory requirements, fraud, or other
                         criminal activities that go undetected in the following areas:

                         • data accuracy
                         • internal controls,
                         • compliance with regulations
                         • ability to integrate with other communities

**Source of Discovery
of Nonconformance**   The following actions prompted the HAS:

                         • non-compliance with regulations
                         • audit findings
                         • general process improvement

Objectives   The HAS will produce results in the following areas:

                         • become compliant with regulations
                         • eliminate duplication of systems
                         • correct data inaccuracy
                         • integrate feeder systems
                         • improve internal controls

**Responsible
Manager**

The HAS office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

DSN: 645-9638
Phone:
Fax:
E-mail:

**Functional
Category**

The HAS is a system that impacts the following functions:

- Security Assistance
- Receivables and Collections
- Procurement
- Working Capital Fund Accounting
- Debt Management
- Resource Planning and Reporting

The HAS is part of the larger initiative, Logistics Modernization.

**Supporting System
Architecture**

The HAS initiative receives source data from and transmits data to the
Standard Depot System (SDS)

U.S. Army
Headquarters Integrated Facilities System - Micro/Mini
(HQ IFS-M)

Description

The Headquarters Integrated Facilities System-Micro/Mini (HQ IFS-M) initiative will focus on bringing the Integrated Facilities System – Micro/Mini (IFS-M) into compliance with Year 2000 (Y2K) requirements.

The HQ IFS-M compliancy initiative is a user friendly method for Headquarters, Department of Army (HQDA), Major Army Commands (MACOM), Office of the Secretary of Defense (OSD), Army Installations, and DoD contractors to acquire information from Integrated Facilities System-Mini/Micro (IFS-M) and other existing databases. The HQ IFS-M compliancy initiative is designed to allow users easy access to data without knowledge of Structured Query Language (SQL) or specialized computer skills. The HQ IFS-M compliancy initiative is a multi-dimensional database that provides standard graphical, tabular and spatial displays for multiple levels and fiscal years. These displays allow users at all levels a means of analyzing their Real Property Inventory and Real Property Maintenance Activity (RPMA) costs.

Title and Description of Nonconformance

There are no weaknesses for the HQ IFS-M compliancy initiative to correct that have a critical impact on financial operations.

Source of Discovery of Nonconformance

The actions prompting the HQ IFS-M compliancy initiative include:

- providing a friendly method of acquiring information from IFS-M and other existing databases
- Y2K compliance requirements

Objectives

The objectives of the HQ IFS-M compliancy initiative will:

- provide standard graphical, tabular, and spatial displays for multiple levels and fiscal years
- implement a Y2K compliant system

Responsible Manager

The HQ IFS-M compliancy office of primary responsibility and responsible manager are:

ACSIM
Program Manager
Luther E. Oswalt

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Supporting System Architecture

The HQ IFS-M compliancy initiative transmits data to the following systems:

Destination	Data Supplied
HQDA	Information from IFS-M and other Existing Databases
MACOM	Information from IFS-M and other Existing Databases
OSD	Information from IFS-M and other Existing Databases
Army Installations	Information from IFS-M and other Existing Databases
DoD contractors	Information from IFS-M and other Existing Databases

The HQ IFS-M compliancy initiative architecture uses a three-tiered approach that allows for:

- easy updating of the HQ IFS-M screens and database
- eliminates the logistics of distributing software updates to users
- allows for better version control and security
- eliminates the TCP/IP compatibility problems
- simplifies the user's workstation and provides access to other facility databases

Milestones

The following milestones apply to the HQ IFS-M compliancy initiative:

Action	Begin Date	End Date
Y2K need established	Dec 97	
Completion of Y2K initiative		Dec 98

Benefits   The following are qualitative benefits for the HQ IFS-M compliancy
                        initiative:

- system compliance with Y2K requirements
- easy updating of the HQ IFS-M screens and database
- simplifies the user's workstation and provides access to other
  facility databases

U.S. Army
Integrated Facilities System - Micro/Mini (IFS-M)

Description

The Integrated Facilities System – Micro/Mini (IFS-M) initiative will focus on Year 2000 (Y2K) compliancy. The IFS-M compliancy initiative is one of three principle versions of IFS. The IFS-M compliancy initiative is the initial system that operates on either a locally controlled minicomputer network composed of a UNISYS 5000 or 6000 minicomputer, terminals, and microcomputers, or on a PC based Server (SOLARIS).

The IFS-M compliancy initiative received Milestone III approval, by the Army Major Automated Information System Review Council (MAISRC), on November 21, 1989, and was later deployed to 144 Army sites around the world.

Title and Description of Nonconformance

There are no weaknesses for the IFS-M compliancy initiative to correct that have a critical impact on financial operations or data.

Source of Discovery of Nonconformance

The action that prompted the IFS-M compliancy initiative is the Y2K problem.

Objectives

The IFS-M compliancy initiative will produce results by:

- implementing a Y2K compliant system
- supporting the full range of operation and management activities of the Directorate of Public Works (DPW) through the development and deployment of a fully interactive, comprehensive, operationally based automated facilities engineering system
- provide DPW divisions, branches, and shops with functional information systems specifically designed to meet their operational and reporting needs
- establish a standardized core facilities engineering database for DPW management and reporting requirements
- provide users with ad hoc (installation specific) and routine data manipulation and reporting capabilities through the use of flexible powerful database management system software
- give DPW users a broad capability to share information resources

Responsible Manager

The IFS-M compliancy office of primary responsibility and responsible manager are:

ACSIM
<u>Program Manager</u>
Luther E. Oswalt

DSN: 328-7120
Comm: (703) 428-7120
Fax:
E-mail: leo.e.oswalt@cpw01.usace.army.mil

Supporting System Architecture

The IFS-M compliancy initiative receives source data from the following systems:

Source	Data Supplied
Standard Finance System (STANFINS)	Financial Data related to Repair of Army Facilities
Standard Financial Inventory Accounting and Reporting System (STARFIARS)	Financial Data related to Repair of Army Facilities
Standard Army automated Contracting System (SAACONS)	Financial Data related to Repair of Army Facilities and Supply Data
Army Material Command (AMC)	Financial Data related to Repair of Army Facilities

The IFS-M compliancy initiative transmits data to the following systems:

Destination	Data Supplied
Standard Finance System (STANFINS)	Financial Data related to Repair of Army Facilities
Standard Financial Inventory Accounting and Reporting System (STARFIARS)	Financial Data related to Repair of Army Facilities
Standard Army automated Contracting System (SAACONS)	Financial Data related to Repair of Army Facilities and Supply Data
Army Material Command (AMC)	Financial Data related to Repair of Army Facilities
HQ Executive Information System (HQEIS)	Installation Asset Information
ISR	Installation Asset Information

Milestones

The following milestones apply to the IFS-M compliancy initiative:

Action	Begin Date	End Date
Y2K need established	Dec 97	
Completion of Y2K initiative		Dec 98

Benefits   A qualitative benefit for the IFS-M initiative is system compliancy with Y2K requirements.

U.S. Army
Joint Reconciliation Program

Description   The Army's Joint Reconciliation Program combines the financial skills of accounting and budget personnel with the expertise of functional program directors, auditors, and legal staff to create horizontal cross functional teams to analyze problem disbursements and promote Total Obligation Authority (TOA) during the year of execution.

The Joint Reconciliation Program accountability initiative will focus on the following:

- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance   The Joint Reconciliation Program accountability initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the following areas:

- data accuracy
- internal controls

Source of Discovery of Nonconformance   The action prompting the Joint Reconciliation Program accountability initiative was the need for general process improvement.

Objectives   The Joint Reconciliation Program accountability initiative will produce results in the following areas:

- correction of data inaccuracy
- improve internal controls

Responsible Manager	The Joint Reconciliation Program accountability initiative office of primary responsibility and responsible manager are: ASA (FM&C) <u>Program Manager</u> Claire Nelson DSN: 223-5670 Comm: (703) 693-5670 Fax: E-mail: nelsoncm@hqda.army.mil

Functional Category	The Joint Reconciliation Program accountability initiative is a system level initiative that impacts the following functions: • Cost Accounting • Receivables and Collections • Procurement • Debt Management • Accounts Payable • Disbursing • Resource Planning and Reporting

Benefits	Joint Reconciliations increase the Army's purchasing power that directly enhances readiness and preparedness. Purchasing power is increased when MACOMs reduce cancelled account liabilities; "harvest" current TOA for reutilization; identify and eliminated erroneous and over payments; increase visibility over contractor WIP; and eliminate delinquent travel advances.

U.S. Army
JUMPS Standard Terminal Input System (JUSTIS)

Description

The JUMPS Standard Terminal Input System (JUSTIS) updates JUSTIS programs to become Year 2000 (Y2K) compliant. As part of the Y2K programming, JUSTIS forms are being upgraded to ORACLE Forms Version 4.5. The JUSTIS compliancy initiative is the military pay input system for the National Guard Bureau.

The JUSTIS compliancy initiative will focus on the following:

- consolidating and standardizing systems
- improving data accuracy

Title and Description of Nonconformance

The JUSTIS compliancy initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of:

- data accuracy
- ability to integrate with other communities

Source of Discovery of Nonconformance

The action prompting the JUSTIS compliancy initiative was general process improvement.

Objectives

The JUSTIS compliancy initiative will produce results in the following areas:

- implement Y2K compliant system
- correct data inaccuracy
- improve internal controls

Responsible Manager

The JUSTIS compliancy initiative office of primary responsibility and responsible manager are:

National Guard Bureau Financial Services Center
<u>Program Manager</u>
Gary James

DSN:
Comm: (317) 510-3988
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E-mail: jamesg@ngb-66205-cs50.ngb.army.mil

Functional Category

The JUSTIS compliancy initiative is a function level initiative that impacts Military Pay.

The JUSTIS compliancy initiative has a number of smaller or related initiatives:

- eliminate unnecessary coding
- standardize edits performed by the system
- create programming for the conversion of IDT to specific allotments

Supporting System Architecture

The JUSTIS compliancy initiative receives source data from the following systems:

Source	Data Supplied	Rating
Army Personnel and Pay Integration (SIDPERS)	Personnel	Timely and Accurate
AFCOS	Orders	Timely and Accurate

The JUSTIS compliancy initiative transmits data to the following systems:

Destination	Data Supplied	Rating
Defense Joint Military Pay System (DJMS-RC)	Payment Records	Timely and Accurate
Standard Accounting, Budgeting , and Reporting (SABERS)	Payment	Timely and Accurate

Milestones   The following milestones apply to the JUSTIS compliancy initiative:

Action	Begin Date	End Date
Memorandum of Understanding (MOU) with DFAS regarding Data Submission	May 98	May 98
Convert and test forms for Y2K Compliance by outside Source	Jul 98	Aug 98
Field Y2K Compliant forms to States	Oct 98	Oct 98

U.S. Army
Procurement Automated Data and Document System (PADDS)

Description

The Procurement Automated Data and Document System (PADDS) is an acquisition system that receives requests for procurement and awards contracts.

The PADDS will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- consolidating locations
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance

The PADDS corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, or other criminal activities that go undetected in the following areas:

- data accuracy
- internal controls
- compliance with regulations

Source of Discovery of Nonconformance

The following actions prompted the PADDS:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives

The PADDS will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The PADDS office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

DSN: 645-9638
Phone:
Fax:
E-mail:

Functional Category

The PADDS is a system that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Receivables and Collections
- Procurement
- Transportation Pay
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Accounts Payable
- Disbursing

The PADDS is part of the larger Logistics Modernization initiative.

Supporting System Architecture

The PADDS receives source data from and transmits data to the following systems:

- Standard Depot System (SDS)
- Corps of Engineers Financial Management (CEFMS) and Defense Joint Accounting System (DJAS)
- Standard Operations and Maintenance, Army Research, and Development System (SOMARDS)
- Standard Finance System –Redesign I (SRD-I)

U.S. Army
Real Estate Management Information System–New Technology (REMIS-NT)

Description

The Real Estate Management Information System-New Technology (REMIS-NT) will modernize REMIS with a graphical user interface and other technologies such as workflow management, document management, document templates, and mapping.

The REMIS-NT modernization initiative will bring additional real estate activities into the integrated REMIS and Corps of Engineers Financial Management System (CEFMS) systems, including a module for the disposal process for natural resources (required as part of CFO Asset Management and integration with CEFMS).

The REMIS-NT modernization initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- integrating with other communities
- improving data accuracy

Title and Description of Nonconformance

The REMIS-NT modernization initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The action prompting the REMIS-NT modernization initiative was general process improvement.

Objectives

The REMIS-NT modernization initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

| Responsible Manager | The REMIS-NT modernization office of primary responsibility and responsible manager are:

CERE-PS
Program Manager
Fred Lombardo

DSN:
Comm: (202) 761-8972
Fax:
E-mail: Fred.Lombardo@usace.army.mil |

Functional Category

The REMIS-NT modernization initiative is a function level initiative that impacts, Personal and Real Property Tracking and Accounting.

The REMIS-NT modernization initiative is part of the larger initiative, Upgrade all Real Estate Systems and has a number of related initiatives:

- Real Estate Management
- Finance
- Project Management

Supporting System Architecture

The REMIS-NT modernization initiative transmits data to the following:

Destination	Data Supplied	Rating
General Services Administration (GSA)	Real Property	Sometimes Timely and Sometimes Accurate

Milestones

The following milestones apply to the REMIS-NT modernization initiative:

Action	Begin Date	End Date
Need Established	Mar 98	
REMIS-NT (Pilot Program):		
Definition Phase	Jun 98	Jul 98
Analysis Phase	Aug 98	Oct 98
Build Phase	Sep 98	Feb 98
Transition Phase	Nov 98	Mar 99
Production Phase	Sep 98	Apr 99

REMIS-NT (Full System):		
Definition Phase	Apr 99	Sep 99
Analysis Phase	Sep 99	Feb 00
Design Phase	Sep 99	Jun 00
Build Phase	Jan 00	Oct 00
Transition Phase	May 00	Dec 00
Production Phase	Dec 0	Jan 01
Completion		Jan 01

Benefits   The following are qualitative benefits of REMIS-NT modernization
                    initiative:

- improved real estate financial transactions
- data quality
- timeliness
- accuracy
- end-user friendliness

U.S. Army
Standard Army Automated Contracting System (SAACONS)

Description

The Standard Army Automated Contracting System (SAACONS) is to be replaced by the Procurement Automated Data and Document System (PADDS) initiative. The SAACONS replacement initiative is the contracting system for Army Materiel Commands (AMC) at the installation level.

The SAACONS replacement initiative will focus on the following:

- consolidating and standardizing systems
- consolidating locations
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance

The SAACONS replacement initiative corrects weaknesses that have a critical impact on financial operations or data that violates statutory requirements, fraud, or other criminal activities that go undetected in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the SAACONS replacement initiative:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives

The SAACONS replacement initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The SAACONS replacement office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

DSN: 645-9638
Phone:
Fax:
E-mail:

Functional Category

The SAACONS replacement initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Receivables and Collections
- Procurement
- Transportation Pay
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Accounts Payable
- Disbursing

SAACONS replacement initiative is part of the larger initiative, Logistics Modernization.

Supporting System Architecture   The SAACONS replacement initiative receives source data from and transmits data to the following systems:

- Standard Depot System (SDS)
- Corps of Engineers Financial Management System (CEFMS) and Defense Joint Accounting System (DJAS)
- Standard Operations and Maintenance Army Research and Development System (SOMARDS)
- Standard Finance System – Redesign I (SRD-I)

Milestones   The following milestones apply to the SAACONS replacement initiative:

- SAACONS to be replaced by the Procurement Automated Data and Document System (PADDS)

U.S. Army
Standard Depot System (SDS)

Description   The Standard Depot System (SDS) is a primary process within the
Develop Maintenance Program that receives requirements from:

- item managers
- Foreign Military Sales (FMS) customers
- Program Executive Office and Program Manager's (PEO and PM's)
- other services

The SDS then programs the requirements into maintenance actions.
Programming and executing the requirements include various processes
such as:

- negotiation of the planned maintenance actions, whether they are
  organic, contractor or other service
- managing unserviceable assets
- obtaining funding authorizations
- monitoring program status

These processes occur as the maintenance actions progress through
planning, execution, change, and close-out.

The SDS will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- consolidating locations
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and
Description of
Nonconformance

The SDS corrects weaknesses that have a critical impact on financial
operations or data that violates statutory requirements, fraud, or other
criminal activities that go undetected in the following areas:

- data accuracy
- internal controls,
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance   The following actions prompted the SDS:

- non-compliance with regulations
- audit findings
- general process improvements

Objectives   The SDS will produce results in the following areas:

- implement year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager   The SDS office of primary responsibility and responsible manager are:

HQ AMC
<u>Program Manager</u>
Mike Ivey

DSN: 645-9638
Phone:
Fax:
E-mail:

Functional Category

The SDS is a system that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Security Assistance
- Receivables and Collections
- Procurement
- Transportation Pay
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Debt Management
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

The SDS is part of the larger initiative, Logistics Modernization.

Supporting System Architecture

The SDS receives source data from and transmits data to the following systems:

- Automated Financial Management Information System (AFMIS)
- Aircraft Inventory Reporting System (AIRS)
- Army Central Fund Accounting System (ACLDB)
- AWRDS
- Conventional Ammunition Integrated Management System (CAIMS)
- Continuing Balance System Expanded (CBS-X)
- Commodity Command Standard System (CCSS)
- Central Demand Data Base (CDDB)
- Corps of Engineers Financial Management System (CEFMS)
- Defense Joint Accounting System (DJAS)
- Defense Automatic Addressing System (DAAS)
- Defense Civilian Pay System (DCPS)
- Data Element Management Accounting Report (DELMARS)
- DoD Small Arms Serialization Program/Unique System
- DODSASP/UIT
- Defense Property Accountability System (DPAS)
- Direct Supply Support (DSS)
- Inter-service Material Accounting and Control System (IMACS)

- Logistics Intelligence File (LIF)
- Material Return Data Base (MRDB)
- Post Development Management Software Support (PDMSS),
- RIA DSFA/BII
- Standard Operations and Maintenance Army Research and Development System (SOMARDS)
- Standard Finance System – Redesign I (SRD-I)
- Total Asset Visibility (TAV)

U.S. Army
Standard Installation and Division Personnel System (SIDPERS)

Description

The Standard Installation and Division Personnel System (SIDPERS) develops a single input to a soldier's personnel file that impacts both the personnel system and the finance system. This effort requires that the location of the input also maintain the accountability of files and records the transactions, minimizing multiple input locations for a single transaction.

The SIDPERS will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- consolidating locations
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy
- synchronizing current databases
- increasing data standardization
- increasing data dependability while increasing updates of databases

Title and Description of Nonconformance

The SIDPERS corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- ability to integrate with other communities

Also, the SIDPERS corrects weaknesses that have a critical impact on financial operations or data in regards to compliancy with regulations, that lead to inefficient and unnecessary procedures which results in the reduction of operational readiness or effectiveness.

Source of Discovery of Nonconformance   The following actions prompted the SIDPERS:

- non-compliance with regulations
- audit findings
- general process improvements
- expectations to bring the total system to the level to allow the Army to fully integrate functions, doctrine, and accessibility with the projected Defense Information Management Human Resources System (DIMHRS)

Objectives   The SIDPERS will produce results in the following areas:

- implement Year 2000 (Y2K) compliant systems
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- improve internal controls
- synchronize current databases
- increase data standardization and dependability

Responsible Manager   The SIDPERS office of primary responsibility and program manager is:

ASA (FM&C) DCSPER
Program Manager
LTC Lugo

DSN:
Comm: (703) 693-5669
Fax:
E-mail: lugonov@pentagon-asafm.army.mil

Functional Category   The SIDPERS is a system which impacts the following functions:

- Retiree and Annuitant Pay
- Debt Management
- Personnel
- Military Pay

Milestones   The following milestone applies to the SIDPERS:

Action	Begin Date	End Date
Army Personnel and Pay Integration need established	Dec 84	Sep 05

Benefits   The following are qualitative benefits for the SIDPERS:

- reliability of information
- synchronized database
- single input
- electronically stored and managed files

U.S. Army
Standard Property Book System – Redesign (SPBS-R)

Description

The Standard Property Book System – Redesign (SPBS-R) is a critical feeder system that provides on-hand reportable assets and property data to the LOGSA CBS-X database. This data is then used as manual input to the TDA CFO report to DFAS.

The SPBS-R initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- integrating with other communities
- improving data accuracy

Note: The Standard Property Book System – Redesign will be replaced by the Global Combat Supply Support System – Army in FY 1999/2000.

Title and Description of Nonconformance

The SPBS-R critical feeder system corrects weaknesses that have a critical impact on financial operation or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the SPBS-R initiative:

- non-compliance with regulations
- audit findings

Objectives   The objectives of the SPBS-R initiative are to:

- implement Year 2000 (Y2K) compliant systems
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager   The SPBS-R office of primary responsibility and responsible manager are:

ODCSLOG (DALO-SMP)
Program Manager
Earl Stinson

DSN:
Comm: (703) 614-6756
Fax:
E-mail: stinsev@hqda.army.mil

Functional Category   The SPBS-R initiative is a system level initiative that impacts the Inventory Tracking and Accounting function.

Supporting System Architecture   The SPBS-R receives source data from the following systems:

Source	Data Supplied	Rating
SPBS-R	CBS-X	Timely and Sometimes Accurate
SPBS-R	Manual CFO Equipment	Sometimes Timely and Sometimes Accurate

The SPBS-R transmits data to the following systems:

Destination	Data Supplied	Rating
USALOGSA CBS-X Database	CBS-X	Timely and Sometimes Accurate
DFAS OPLOCs	Manual CFO Equipment	Sometimes Timely and Sometimes Accurate

U.S. Army
State Accounting Budgeting Expenditure and Reservation System (SABERS)

Description

The State Accounting Budgeting Expenditure and Reservation System (SABERS) is Year 2000 compliant, handles funded reimbursables, multiple operating agency codes, and specific allotments for inactive duty pay.

The SABERS will focus on the following:

- reengineering business processes
- standardizing and warehousing data
- integrating with other communities
- improving data accuracy

Title and Description of Nonconformance

The SABERS corrects weaknesses that have a critical impact on financial operations or data that involves violations of statutory requirements, fraud, or other criminal activities that go undetected, and create mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the SABERS:

- non-compliance with regulations
- audit findings
- general process improvement

Objectives

The SABERS will produce results in the following areas:

- implement Y2K compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The SABERS office of primary responsibility and responsible manager are:

National Guard Bureau Comptroller Division
Program Manager / Point of Contact
LTC Ann Willi / 1LT Kevin Leavitt

DSN: (703) 607-7537
Comm:
Fax:
E-mail: leavittk@arngrc-ngb.ngb.army.mil

Functional Category

The SABERS is a system that impacts the following functions:

- Travel Pay
- Civilian Pay
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

U.S. Army
Theater Army Medical Management Information System (TAMMIS)

Description   The Theater Army Medical Management Information System (TAMMIS) initiative provides visibility of items being procured with the credit card and captures the detailed information for audit and tracking purposes.

The TAMMIS accountability initiative:

- provides summary cost accounting at the DFAS level while maintaining detailed information at the user level
- assists the resource manager with budget information
- assesses risk management issues

The TAMMIS accountability initiative supports both, supply and logistic. The logistics side consists of a TDA and TOE environment and has three modules including medical supply and medical maintenance. The supply side has an inventory accounting system that supports both the stock fund and Operations and Maintenance (OMA) funded environment.

The TAMMIS accountability initiative also interfaces with the Defense Finance and Accounting Service (DFAS). There are ongoing Year 2000 (Y2K) initiatives to replace hardware and upgrade systems so that the system will be Y2K compliant by the end of FY 1999. Another ongoing initiative is to add a credit card module for credit card accounting. The purpose of the module it to standardize and automate credit card procedures and financial disbursing processes.

The TAMMIS accountability initiative will focus on the following:

- reengineering business processes
- accessing internal controls
- improving data accuracy
- assisting in risk management assessments as it pertains to recalls on devices, equipment, and pharmaceuticals

Title and Description of Nonconformance

The TAMMIS accountability initiative corrects weaknesses that have a critical impact on financial operations or data that involve:

- violations of statutory requirements, fraud, or other criminal activities that go undetected in data accuracy
- mission impairment requiring excessive overrides, work-arounds, and manual intervention for internal controls
- inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in compliancy with regulations

Source of Discovery of Nonconformance

The action prompting the TAMMIS accountability initiative were:

- audit findings
- general process improvement

Objectives

The TAMMIS accountability initiative will produce the following results:

- become compliant with regulations
- correct data inaccuracy
- improve internal controls

Responsible Manager

The TAMMIS accountability office of primary responsibility and responsible manager are:

MEDCOM
Program Manager
LTC Angel Ferrer

DSN:
Comm: (210) 221-1300
Fax:
E-mail:

Functional Category

The TAMMIS accountability initiative is a system level initiative that impacts the following functions:

- Personal and Real Property Tracking and Accounting
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

Supporting System Architecture

The TAMMIS accountability initiative receives source data from the following:

Source	Data Supplied	Rating
Customer Receipts	Requirements	Timely and Accurate
Bank Statements	Credit Card Charges	Timely and Accurate
Vendor Receipts and Pack Slips	Actual Customer Charges	Timely and Accurate

The TAMMIS accountability initiative transmits data to the following system:

Destination	Data Supplied	Rating
Defense Finance and Accounting Service (DFAS)	Financial Transactions	Timely And Accurate

Milestones

The following milestones apply to the TAMMIS accountability initiative:

Action	Begin Date	End Date
Need Established	Mar 98	Aug 98
Completion		Sep 98

Benefits

The following are qualitative benefits of the TAMMIS accountability initiative:

- provides summary cost accounting at the DFAS level while maintaining detailed information at the user level
- assists the resource manager with budget information, and
- assesses risk management issues

U.S. Army
Worldwide Ammunition Reporting System – New Technology (WARS-NT)

Description   The Worldwide Ammunition Reporting System – New Technology
                            (WARS-NT) is migrating the current WARS mainframe database, to a
                            state-of-the-art, client server platform. The WARS-NT migratory
                            initiative is the Army's ammunition asset visibility system, merging
                            wholesale and retail stocks into a single National level system, with
                            feeder data reported from a worldwide array of reporting elements. The
                            WARS-NT migratory initiative will be utilizing commercial off-the-shelf
                            software (COTS) (Oracle and Windows NT) and be Global Combat
                            Support System-Army (GCSS-A) and Joint Ammunition Standard
                            System (JAMSS replacement) compliant.

                            The WARS-NT migratory initiative will focus on the following:

                            - consolidating and standardizing systems
                            - standardizing and warehousing data
                            - improving data accuracy

**Title and
Description of
Nonconformance**   The WARS-NT migratory initiative corrects weaknesses that have a
                            critical impact on financial operations or data that impacts and involves
                            mission impairment requiring excessive overrides, work-arounds, and
                            manual intervention in the following areas:

                            - data accuracy
                            - internal controls

**Source of Discovery
of Nonconformance**   The actions prompting the WARS-NT migratory initiative include:

                            - general process improvement
                            - high cost and inefficiencies found in the mainframe environment,
                            - improved accessibility of customers to data through data base screens
                            - improved accuracy

Objectives   The WARS-NT migratory initiative will produce results in the
                      following areas:

                      • implement Y2K compliant system
                      • correct data inaccuracy
                      • integrate feeder systems
                      • improve internal controls

Responsible   The WARS-NT migratory initiative office of primary responsibility and
Manager   responsible manager are:

                      U.S. Army Industrial Operations Command
                      Program Manager
                      Bill Martin

                      DSN: 703-793-3300
                      Comm:
                      Fax:
                      E-mail: bmartin@riaemh2.army.mil

Functional   The WARS-NT migratory initiative is a function level initiative that
Category   impacts, Inventory Tracking and Accounting.

                      The WARS-NT migratory initiative is part of the following larger
                      initiatives:

                      • GCSS-A
                      • JAMSS

                      The WARS-NT migratory initiative has the following smaller or related
                      initiatives:

                      • Army Total Asset Visibility (ATAV)
                      • Unique Item Tracking (UIT)

Supporting System The WARS-NT migratory initiative receives source data from the
Architecture   following systems:

Source	Data Supplied	Rating
Standard Depot System (SDS)	Wholesale Ammunition Data	Sometimes Timely and Sometimes Accurate

Standard Army Ammunition System-Modified (SAAS-MOD)	Retail Ammunition Data	Sometimes Timely and Sometimes Accurate

The WARS-NT migratory initiative transmits data to the following systems:

Destination	Data Supplied	Rating
DFAS Indianapolis	Retail Ammunition Data	Sometimes Timely and Sometimes Accurate
HQDA DCSLOG	Wholesale and Retail Ammunition Data	Sometimes Timely and Sometimes Accurate
HQ AMC DCS Ammunition and DCSLOG	Wholesale and Retail Ammunition Data	Sometimes Timely and Sometimes Accurate
HQ's Initial Operational Capability (IOC) and Army Materiel Command (AMCOM) Class V NICP's	Wholesale and Retail Ammunition Data	Sometimes Timely and Sometimes Accurate

Milestones   The following milestones apply to the WARS-NT migratory initiative:

Action	Begin Date	End Date
Need Established	Jul 97	
Completion		Apr 99

Milestone update due in mid-August 1998 by the developer.

U.S. Navy
Assessment of Logistics and Inventory Systems

Description

The Assessment of Logistics and Inventory Systems initiative will examine the existing inventory management systems of several distinct Department of the Navy (DoN) Navy Working Captial Fund (NWCF) and General Fund business groups to define approaches to meet federal requirements for financial management systems. This initiative will also consider opportunities for standardization and consolidation of like functions.

The Assessment of Logistics and Inventory Systems initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The Assessment of Logistics and Inventory Systems initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in areas of:

- data accuracy
- compliancy with regulations

This initiative will also correct weaknesses that involve inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the area of internal controls.

Source of Discovery of Nonconformance

The actions prompting the Assessment of Logistics and Inventory Systems initiative were:

- non-compliance with regulations
- auditing findings
- general process improvement

Objectives

The Assessment of Logistics and Inventory Systems initiative will produce results in the following areas:

- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- improve internal controls

Responsible Manager

The Assessment of Logistics and Inventory Systems initiative office of primary responsibility and responsible manager are:

Department of the Navy Organization Management and Infrastructure Team (DONOMIT)
Program Manager
Charles P. Nemfakos
Deputy Under Secretary of the Navy

DSN:
Comm: (703) 681-0482
Fax: (703) 681-9174
E-mail: nemfakos.charles@hq.navy.mil

Functional Category

The Assessment of Logistics and Inventory Systems initiative is functional level initiative that impacts the Inventory Tracking and Accounting function.

Supporting System Architecture

The Assessment of Logistics and Inventory Systems initiative receives source data from the following systems:

Source	Data Supplied	Rating
Logistic feeder system aspects of UICP, UADPS, SUADPS, ITIMP, SASSY, and LMSS	Inventory Valuing and Categorizing	Sometimes Timely and Inaccurate

Milestones   The following milestones apply to the Assessment of Logistics and
                        Inventory Systems initiative:

Action	Begin Date	End Date
Need Established	Mar 97	
Identify Non-Compliant Inventory Management Systems	Sep 98	Jun 99
Identify Opportunities for Consolidation and Standardization of Inventory Management Functions	Sep 98	Jun 99
Establish Project Lead (N4/HQMC)	Oct 98	Oct 98
Form Technical Team to Evaluate Requirements and Set Milestones	Oct 98	Oct 98
Establish Plan to Correct Non-Compliant Data	Jul 99	Sep 99
Implement Plan to Correct Non-Compliant Data	Oct 99	
Implement Plan to Consolidate and Standardize Non-Financial Feeder Modules	Oct 99	

Benefits   The Assessment of Logistics and Inventory Systems initiative will result
                        in inventory management systems providing compliant data to financial
                        accounting system, thus improving information provided in financial
                        statements and leading to an unqualified audit opinion on those financial
                        statements. Where system consolidations or standardization are made,
                        changes in financial management requirements or management's needs
                        can be uniformly achieved.

U.S. Navy
Assessment of National Defense Property, Plant, and Equipment

Description
The Assessment of National Defense Property, Plant, and Equipment initiative will examine the feasibility of creating a data warehouse application to serve as a central information repository for financial statements from various disbursed systems and functional areas. The data warehouse provides a means to consolidate and maintain data requirements without incurring the significant cost and disruption that current system modification might cause.

The Assessment of National Defense Property, Plant, and Equipment initiative will focus on the following:

- reengineering business processes
- standardizing and warehousing data
- assessing internal controls
- improving data accuracy

Title and Description of Nonconformance
The Assessment of National Defense Property, Plant, and Equipment initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- compliancy with regulations

Source of Discovery of Nonconformance
The actions prompting the Assessment of National Defense Property, Plant, and Equipment initiative were non-compliance with regulations, and general process improvement.

Objectives
The Assessment of National Defense Property, Plant, and Equipment initiative will produce results in the following areas:

- become compliant with regulations
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The Assessment of National Defense Property, Plant, and Equipment initiative office of primary responsibility and responsible manager are:

Department of the Navy Organization Management and Infrastructure Team (DONOMIT)
Program Manager
Charles P. Nemfakos
Deputy Under Secretary of the Navy

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E-mail: nemfakos.charles@hq.navy.mil

Functional Category

The Assessment of National Defense Property, Plant, and Equipment initiative is functional level initiative that impacts the National Defense PP&E function.

Supporting System Architecture

The Assessment of National Defense Property, Plant, and Equipment initiative receives source data from the following systems:

Source	Data Supplied	Rating
Aircraft Inventory Reporting System	Aircraft quantities	Sometimes Timely and Sometimes Accrate
Asset Tracking Logistics and Supply System	Tracked Vehicles	Sometimes Timely and Sometimes Accurate
Marine Ammo Accounting and Reporting System II	Ammunition and Munitions	Sometimes Timely and Sometimes Accurate
Missile History Database System	Missiles Atlantic	Sometimes Timely and Sometimes Accurate
Missile History Database System	Missiles Pacific	Sometimes Timely and Sometimes Accurate
Conventional Ammunition Integrated Management System	Ammunitions and Munitions	Sometimes Timely and Sometimes Accurate
Aircraft Engine Management System	Aircraft Engines	Sometimes Timely and Sometimes Accurate
Metrology Automated System for Uniform Recall and Reporting	Calibration Standards	Sometimes Timely and Sometimes Accurate

Milestones   The following milestones apply to the Assessment of National Defense
                        Property, Plant, and Equipment initiative:

Action	Begin Date	End Date
Need Established: Issuance of SFFAS No. 6 and 8	Jun 98	
Define National Defense PP&E Compliance Requirements	Jan 98	Sep 98
Establish Project Lead teams (DONOMIT)	Oct 98	Oct 98
Form Technical Team to Evaluate Requirements and set Milestones	Oct 98	Nov 98
Analyze and Match System Data Elements and Data Flow to Requirements	Nov 98	Feb 99
Analyze Data Elements to be Incorporated in Data Warehouse	Jan 99	Mar 99
Identify Data Element To Be Incorporated In Data Warehouse	Mar 99	Jun 99
Identify SFFAS Compliant Data Warehouse Application Costs	Jun 99	Jul 99
Determine Viability of Data Warehouse and establish Milestones, or Determine Alternate Course of Action	Jul 99	

Benefits   The qualitative benefit of the Assessment of National Defense Property,
                        Plant, and Equipment initiative is the establishment of a data warehouse
                        application that will serve as a central repository for required financial
                        statement information, produce timely and accurate data, and contribute
                        to producing auditable financial statements.

U.S. Navy
Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeder Systems

Description   The Department of the Navy (DoN) Working Capital Fund (NWCF) consists of several "self-contained" legacy systems used in the management of resources at NWCF activities. Each of these legacy systems contains a financial accounting module which is fed by other non-financial feeder modules within the same legacy system. The Defense Finance and Accounting Service (DFAS) has assumed ownership of the financial accounting module while the DoN maintains ownership of the non-financial feeder modules. All of these legacy financial accounting modules are being transitioned to interim migratory financial accounting systems.

The Assessment of Navy Working Capital Fund Non-Financial Feeders initiative will allow the DoN to identify all critical non-financial feeder modules to ensure required financial management system information is flowing to the financial accounting modules owned by DFAS. This initiative will also identify opportunities for non-financial feeder system consolidation or standardization within the NWCF to eliminate redundant systems.

The Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeders initiative will focus on the following:

- consolidating and standardizing systems
- standardizing and warehousing data
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance   The Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeders initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in areas of:

- data accuracy
- internal controls
- compliancy with regulations

Source of Discovery   The actions prompting the Assessment of Navy Working Capital Fund
of Nonconformance   (NWCF) Non-Financial Feeders initiative were:

- non-compliance with regulations
- general process improvement

Objectives   The Assessment of Navy Working Capital Fund (NWCF) Non-
                         Financial Feeders initiative will produce results in the following areas:

- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible   The Assessment of Navy Working Capital Fund (NWCF) Non-Financial
Manager   Feeders initiative office of primary responsibility and responsible
                         manager are:

                         Department of the Navy Organization Management and Infrastructure
                         Team (DONOMIT)
                         Program Manager
                         Charles P. Nemfakos
                         Deputy Under Secretary of the Navy

                         DSN:
                         Comm: (703) 681-0482
                         Fax: (703) 681-9174
                         E-mail: nemfakos.charles@hq.navy.mil

Functional   The Assessment of Navy Working Capital Fund (NWCF) Non-Financial
Category   Feeders initiative is functional level initiative that impacts the following
                         functions:

- Working Capital Fund Accounting
- Functions within the "Self-Contained" system at NWCF activities

Supporting System Architecture

The Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeders initiative receives source data from the following systems:

Source	Data Supplied	Rating
Non-Financial Feeder Modules	Most Data Elements required for a financial accounting system to produce a complete trial balance or set of financial statements.	Sometimes Timely and Sometimes Accurate

Milestones

The following milestones apply to the Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeders initiative:

Action	Begin Date	End Date
Need Established	Nov 96	
Identify areas of Non-Compliant data in Non-Financial Feeder Systems	Sep 98	Jun 99
Identify Opportunities for Consolidation and Standardization of Non-Financial Feeder Modules	Sep 98	Jun 99
Establish Project Lead (DONOMIT)	Oct 98	Oct 98
Form Technical Team to Evaluate Requirements and Set Milestones	Oct 98	Oct 98
Establish Plan to Correct Non-Compliant Data	Jul 99	Sep 99
Establish Plan to Implement Consolidation or Standardization	Jul 99	Sep 99
Implement Plan to Correct Non-Compliant Data	Oct 99	
Implement Plan to Consolidate and Standardize Non-Financial Feeder Modules	Oct 99	

Benefits

The Assessment of Navy Working Capital Fund (NWCF) Non-Financial Feeders initiative will result in non-financial feeder systems providing compliant data to financial accounting systems, thus improving information provided in financial statements and leading to an unqualified audit opinion on those financial statements. Where system consolidations or standardizations are made, changes in financial management requirements or management's needs can be uniformly achieved.

U.S. Navy
Assessment of Personnel Systems

Description

The Assessment of Personnel Systems initiative will examine the current processing of source data automation from the military active and reserve personnel management systems to determine areas in need of modification for compliance with financial management system requirements.

The Assessment of Personnel Systems initiative will focus on the following:

- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The Assessment of Personnel Systems initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The actions prompting the Assessment of Personnel Systems initiative were:

- non-compliance with regulations
- audit findings

Objectives

The Assessment of Personnel Systems initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems

- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The Assessment of Personnel Systems initiative office of primary responsibility and responsible manager are:

Department of the Navy Organization Management and Infrastructure Team (DONOMIT)
Program Manager
Charles P. Nemfakos
Deputy Under Secretary of the Navy

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Functional Category

The Assessment of Personnel Systems initiative is a functional level initiative that impacts the Personnel and Military Pay functions.

Supporting System Architecture

The Assessment of Personnel Systems initiative receives source data from the following systems:

Source	Data Supplied	Rating
Navy Enlisted Personnel Information System (NES)	Personnel Information	Timely and Sometimes Accurate
Officer Personnel Information System (OPINS)	Personnel Information	Timely and Sometimes Accurate
Force Management System (FORMAN)	Personnel Information	Sometimes Timely and Sometimes Accurate
Inactive Manpower and Personnel Management Information System (IMAPMIS)	Personnel Information	Sometimes Timely and Sometimes Accurate

Microcomputer Claims Processing System (MCPS)	Travel Claims	Sometimes Timely and Sometimes Accurate
Reserve Financial Management Active Duty for Training System (RESFMS)	Personnel Information	Sometimes Timely and Sometimes Accurate
Reserve Information Management System (RIMS)	RESFMS Replacement	Timely and Accurate

Milestones   The following milestones apply to the Assessment of Personnel Systems initiative:

Action	Begin Date
Need Established	
Identify areas of Non-Compliant data in Non-Financial Feeder Systems	Jun 99
Identify Opportunities for Consolidation and Standardization of Non-Financial Feeder Modules	Aug 99
Establish Plan to Correct Non-Compliant Data	Dec 99
Establish Plan to Implement Consolidation or Standardization	Dec 99
Implement Plan to Correct Non-Compliant Data	Feb 99

Benefits   The Assessment of Personnel Systems initiative will identify where systems should be consolidated, standardized or eliminated based upon such factors as the number of accounts, system processing capabilities and business processes. The assessment will determine whether the system may be cost effectively modified to provide the information required by the FASB guidelines or augment it with another system or application.

U.S. Navy
Assessment of Time and Attendance Source Data Automation System
(T&A / SDA)

Description

The Time and Attendance Source Data Automation System (T&A / SDA) initiative will evaluate time and attendance systems, used throughout the Department of the Navy (DoN), to determine the practicality of continuing, consolidating, or eliminating each system based upon the number of accounts, system processing capabilities, and business processes.

The T&A / SDA initiative will focus on the following:

- consolidating and standardizing systems
- standardizing and warehousing data
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The T&A / SDA initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- compliancy with regulations

Source of Discovery of Nonconformance

The action prompting the T&A / SDA initiative was non-compliance with regulations.

Objectives

The T&A / SDA initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls
- reduce maintenance costs by reducing the number of systems in use

**Responsible
Manager**

The T&A / SDA initiative office of primary responsibility and
responsible manager are:

Department of the Navy Organization Management and Infrastructure
Team (DONOMIT)
Program Manager
Charles P. Nemfakos
Deputy Under Secretary of the Navy

DSN:
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**Functional
Category**

The T&A / SDA initiative is functional level initiative that impacts the
Time and Attendance function.

**Supporting System
Architecture**

The T&A / SDA initiative receives source data from the following
systems:

Source	Data Supplied	Rating
Standard Labor Data collection and Distribution Application (SLDCADA)	Time and Attendance	Timely and Accurate
Automated Labor and T&A Input and Reporting System (ALTAIRS)	Time and Attendance	Sometimes Timely and Sometimes Accurate
Automated Time and Labor System (ATLAS)	Time and Attendance	Sometimes Timely and Accurate
Command Management System (CMS)	Time and Attendance	Sometimes Timely and Sometimes Accurate
Command Resource Management Module (CRMM)	Time and Attendance	Sometimes Timely and Sometimes Accurate
DCPS Defense Automated Timekeeping (DCPS DAT)	Time and Attendance	Sometimes Timely and Sometimes Accurate

Fleet Technical support Center, Atlantic Payroll System (FTSLANT)	Time and Attendance	Sometimes Timely and Sometimes Accurate
Several local systems at various commands	Time and Attendance	Sometimes Timely and Sometimes Accurate

Milestones   The following milestones apply to the T&A / SDA initiative:

Action	Begin Date
Define CFO requirements and T&A system functions	Jul 98
Assign cognizant individuals and teams	Sep 98
Form technical team and time tables	Oct 98
Review system evaluations and obtain data flows	Nov 98
Evaluation of requirements	Nov 98
Assessment and strategy	Dec 98
Initiative corrective plan	Mar 99

Benefits   By standardizing and consolidating redundant T&A systems, the T&A / SDA initiative will streamline financial and accounting system input from T&A systems. The reduction of obsolete and near-obsolete T&A systems will reduce time, money, and effort expended to maintain these systems. The remaining T&A systems will be made compliant with CFO and Y2K guidelines, ensuring complinacy of the core financial accounting systems they feed.

U.S. Navy
Business Process Assessment of Marine Corps General Funds Financial Statements

Description

This is a Business Process Assessment of Marine Corps General Fund Financial Statements. The project provides an overall assessment of the financial statements and focuses on the examination of all aspects of the business process to develop financial statements. The business study will include the preparation of desegregated financial statements as well as internal controls structure. The assessment will evaluate the effectiveness of various organizational units in preparation of the annual financial statements within the General Funds area. The initiative will serve as a template for other organizations within the Department of the Navy.

The Business Process Assessment of Marine Corps General Funds Financial Statements initiative will focus on:

- reengineering business processes
- consolidating and standardizing systems
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Source of Discovery of Nonconformance

The sources of discovery of nonconformance for the Business Process Assessment of Marine Corps General Funds Financial Statements initiative are:

- non-compliance with regulations
- auditing findings
- general process improvement

Objectives

The objectives of the Business Process Assessment of Marine Corps General Funds Financial Statements initiative are to:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager	The Business Process Assessment of Marine Corps General Funds Financial Statements office of primary responsibility and responsible manager are:

Marine Corps
<u>Program Manager</u>
H.L. Dixon

DSN:
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Fax:
E-mail: HS@MQG-smtp3.usmc.mil

Functional Category

The Business Process Assessment of Marine Corps General Funds Financial Statements initiative is a cross functional initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Receivables and Collections
- Personal and Real Property Tracking and Accounting
- Accounts Payable
- General Funds Account

Milestones

The following milestones apply to the Business Process Assessment of Marine Corps General Funds Financial Statements initiative:

Action	Begin Date	End Date
Select the U.S. Marine Corps for production of the General Funds Annual Financial Statements	May 98	May 98
Assess Marine Corps Overall Process to Determine Contribution to the Financial content of the Marine Corps General Fund Financial Statement	June 98	June 98
Issue Transaction Cycle Memos	July 98	Dec 98
Perform Internal Control Testing and Identify the Problems	Oct 98	Dec 98
Issue the FY 1998 Advisory/Audit Report to CMC		Mar 99

Conduct Monthly in Process Reviews	Jul 98	Mar 99
Begin Substantive Testing	Jan 99	Nov 99
Issue FY 1999 Advisory/Audit Report to CMC		Mar 00

Benefits

The following are qualitative benefits for the Business Process Assessment of Marine Corps General Funds Financial Statements initiative are to:

- focus functionally on all aspects of the Marine Corps' process that produces the financial statements
- identify potential material weaknesses
- develop management improvements

Once achieved, this process can be used throughout the Department of the Navy (DoN) to enhance our efforts to achieve the overall DoN goal of receiving and unqualified consolidated financial statement audit opinion.

U.S. Navy
Business Process Assessment of Naval Aviation Depot Working Capital Funds Financial Statements

Description   This is a Business Process Assessment of the Naval Aviation Depot (NADEP) Working Capital Fund Financial Statements. The project provides and overall assessment of the financial statements and focuses on the examination of all aspects of the business process to develop financial statements. The business study will include the preparation of disaggregated financial statements as well as internal controls structure. The assessment will evaluate the effectiveness of various organizational units in preparation of the annual financial statements within the Working Capital Funds area. The initiative will serve as a template for other organizations within the Department of the Navy.

The business process assessment of the NADEP Working Capital Fund Financial Statements initiative will focus on:

- reengineering business processes
- consolidating and standardizing systems
- accessing internal controls
- improving infrastructure
- improving data accuracy

Concentrated efforts will follow the corporate business process resulting in greater control over process improvement. The model of success will be used throughout the Department of the Navy (DoN) to improve business practices in the DoN Working Capital Funds organizations.

Source of Discovery of Nonconformance   The sources of discovery of nonconformance for the Business Process Assessment NADEP Working Capital Fund Financial Statements initiative are:

- non-compliance with regulations
- auditing findings
- general process improvement

Objectives   The objectives of the Business Process Assessment NADEP Working Capital Fund Financial Statements initiative are to:

- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy

- integrate feeder systems
- improve internal controls

Responsible Manager

The Business Process Assessment of (NADEP) Working Capital Funds Financial Statements office of primary responsibility and responsible manager are:

Naval Air Systems Command
Program Manager
Paul Hosmer

DSN:
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Functional Category

The Business Process Assessment of (NADEP) Working Capital Funds Financial Statements initiative is a cross functional initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Receivables and Collections
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Accounts Payable

Milestones

The following milestones apply to the Business Process Assessment (NADEP) Working Capital Funds Financial Statements initiative:

Action	Begin Date	End Date
Select the Naval Aviation Depot for Production of the DoN Working Capital Funds Annual Financial Statements	May 98	May 98
Track business Practices of the Naval Aviation Depot to Determine "best business practices" for Dissemination to Other DoN Working Capital Fund Activity Groups	July 98	July 99
Issue Transaction Cycle Memos	July 98	Dec 98
Perform Internal Control Testing and Identify the Problems	July 98	Mar 99
Issue the FY 1998 Advisory/Audit Report to NAVAIR/NADEP	Jul 98	Mar 99

Conduct Periodic in-Process Reviews.	Sep 98	Mar 99
Begin Substantive Testing.	Apr 99	Mar 00
Issue FY 1999 Advisory/Audit Report to NAVAIR/NADEP		Mar 00

Benefits   The following are qualitative benefits for the Business Process Assessment of NADEP Working Capital Funds Financial Statements are to:

- focus functionally on all aspects of the process that produces the financial statements
- identify potential material weaknesses
- develop management improvements

Once achieved, this process can be used throughout the Department of the Navy (DoN) to enhance our efforts to achieve the overall DoN goal of receiving an unqualified consolidated financial statement audit opinion.

U.S. Navy
DoN Civilian Financial Management Career Program Improvement

Description

The Department of the Navy (DoN) Civilian Financial Management Career Program initiative provides strategies and goals to the DoN comptrollers for development, on a continuous basis of the DoN financial management (FM) workforce.

The DoN Civilian Financial Management Career Program Improvement initiative will focus on improving infrastructure (personnel).

Source of Discovery of Nonconformance

The source of discovery of nonconformance for the DoN Civilian Financial Management Career Program Improvement initiative is general process improvement.

Objectives

The objective of the DoN Civilian Financial Management Career Program Improvement initiative is to establish a framework to be used within the DoN to facilitate continuous improvement of the skills of the DoN FM civilian work force.

Responsible Manager

The DoN Civilian Financial Management Career Program Improvement office of primary responsibility and responsible manager are:

DoN, Naval Financial Management Career Center
Program Manager
Thomas W. Steinberg/Patricia Cain

DSN:
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E-mail: p_cain@nfmcc.navy.mil

Functional Category

The DoN Civilian Financial Management Career Program Improvement initiative is a function level initiative that impacts the following functions:

- Personnel
- Technical Competency

Milestones   The following milestones apply to the DoN Civilian Financial
                   Management Career Program Improvement initiative:

Action	Begin Date	End Date
Need Established	Oct 97	
FM Core Competency Matrix	Oct 97	Dec 98
Prescriptive Career Instruction	Oct 97	Dec 98
Additional Training Tools	May 98	Sep 99
Continuous Improvement		Ongoing

Benefits   The following are qualitative benefits of the DoN Civilian Financial
                   Management Career Program Improvement initiative:

- increased level of guidance for the FM work force and management
- increasingly better trained and ready work force

U.S. Navy
DoN Program Budget Accounting System (DoN PBAS)

Description   The Department of the Navy (DoN) is implementing the Department of the Navy Program Budget Accounting System (DoN PBAS) as a standard integrated Department-wide funds control and distribution system. DoN PBAS will provide one fund control system under general ledger control from the Department level to the intermediate commands and field accounting systems. DoN PBAS will meet the Government-wide financial systems compliance standard of the Federal Financial Management Improvement Act of 1996, will comply with DoD direction in accordance with the Under Secretary of Defense (comptroller) memo dated 26 June 1996, and will improve the Department's financial management.

The DoN, in conjunction with the Defense Finance and Accounting Service, is deploying a three-level standard funds control and distribution system from the Departmental level, to the headquarters levels: the Chief of Naval Operations (CNO), the Commandant of the Marine Corps (CMC), the Office of Naval Research (ONR), and the Assistant for Administration, Office of the Under Secretary of the Navy, and down to the immediate command level. DoN PBAS will interface with the Navy's Standard Accounting and Reporting System (STARS) and the Marine Corps' Standard Accounting and Budget Reporting System (SABRS). Effective 1 October 1998, all Navy and Marine Corps funding allocations from the Departmental level (Level 1) to the Headquarters (Level 2) will be issued in DoN PBAS. Effective 31 March 1999, DoN PBAS will be extended to the intermediate commands (Level 3).

The DoN PBAS implementation initiative will focus on:

- reengineering business processes
- consolidating and standardizing systems
- standardizing/warehousing data
- integrating with other communities
- accessing internal controls
- improving infrastructure
- improving data accuracy

Source of Discovery   The sources of discovery of nonconformance for the DoN PBAS initiative
of Nonconformance   are:

- non-compliance with regulations
- auditing findings
- general process improvement

Objectives   The objective of the DoN PBAS initiative is to:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The DoN PBAS office of primary responsibility and responsible manager are:

ASN (FM&C) Office of Financial Operations
Program Manager
Kevin Gormley

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E-mail: gormley.kevin@fmo.navy.mil

Functional Category

The DoN PBAS initiative is a cross functional initiative that impacts the following functions:

- Funds Management
- Funds Reporting

Supporting System Architecture

The DoN PBAS initiative receives source data from the following systems:

Source	Data Supplied	Rating
Treasury Warrants	Manual	Sometimes Timely and Sometimes Accurate
Apportionment Data	Manual	Sometimes Timely and Sometimes Accurate
Allocations	Budget Data-Manual	Sometimes Timely and Sometimes Accurate
Budget Program Categories	Program Data – Manual	Sometimes Timely and Sometimes Accurate

The DoN PBAS initiative transmit data to the following systems:

Destination	Data Supplied	Rating
STARS Accounting System	Budget Authority	Timely and Accurate
SABRS Accounting System	Budget Authority	Timely and Accurate

Milestones   The following milestones apply to the DoN PBAS initiative:

Action	Begin Date	End Date
USD(C) Direction of 26 June 1996 Established Requirement	Jun 96	Jun 96
System Functional Requirements Established	Jul 96	Jul 97
Current Available Systems Evaluated	Aug 97	Nov 97
PBAS System Selected	Dec 97	Feb 98
DoN PBAS Database Established and Validated	Feb 98	Jun 98
DoN PBAS Test and Production Databases established	Jun 98	Aug 98
DoN PBAS Level 1 to 2 Distribution Implemented	Oct 98	Oct 98
Prior Year Unexpired Appropriation Data Transferred to DoN PBAS	Oct 98	Dec 98
Old NHFS System Shut Down	Nov 98	Dec 98
DoN PBAS Level 2 to 3 Distribution Implemented	Jan 99	Mar 99
Completion		Apr 99

Benefits   The qualitative benefits of the DoN PBAS initiative in terms of the initiative's ability to integrate with other communities and process improvements are to:

- improve the accuracy, timeliness and reliability of funds control and distribution
- eliminate conflicting funding data now existing in a myriad of inefficient, stand-alone systems

- save personnel labor and dollars by eliminating the need to key stroke the re-entry of funding data, and correct data entry errors, caused by operating different systems at every level of the chain of command
- improve CFO reporting and GPRA statistics concisely identifying resource data at activities and commands

U.S. Navy
Enhancement of Organizational Internal Control Structures for Financial Statement Audits

Description
The Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative provides an assessment of the extant internal control structure of various Department of the Navy (DoN) commands and activities. The objective of the assessment s to clearly define the area in need of enhancement at these organizations to ensure greater likelihood of obtaining unqualified opinion of the Department annual financial statements. The deliverables include a comprehensive checklist and an internal control handbook.

The need for the project was an outcome of the audit of the Department's annual financial statements. This audit discussed the need to improve the administrative and accounting controls. To accomplish this, a study of organizational internal control structures has been initiated to describe and delineate internal control components required for each financial management processing cycle at the command activity level. This effort will provide specific controls over transaction processing requirements, file maintenance, assess protection, and other controls necessary for creation of auditable financial statements. The internal control product will:

- describe the control environment
- provide guidelines for risk assessment
- provide information on organizational structure
- provide reliable applicability of laws and financial regulations
- provide a means to assess and monitor the internal control system of the organization

The Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative will focus on:

- assessing internal controls
- improving infrastructure (personnel)

Title and Description of Nonconformance

The Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative corrects weaknesses that have a critical impact on financial operations or data that impacts and involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The sources of discovery of nonconformance for the Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative are:

- audit findings
- general process improvement

Objectives

The objectives of the Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative is:

- correct data inaccuracy
- improve internal controls

Responsible Manager

The Enhancement of Organizational Internal Control Structures for Financial Statement Audits office of primary responsibility and responsible manager are:

Assistant Secretary of the Navy (Financial Management and Comptroller Office of Financial Operations)
Program Manager
Gilbert Reed

DSN:
Comm: (202) 685-6744
Fax: (202) 685-6760
E-mail: reed.dick@cmo.navy.mil

Functional Category

The Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative is a cross functional level initiative that impacts the general process improvements to organizations' system of accounting controls.

Milestones

The following milestones apply to the Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative:

Action	Begin Date	End Date
Need Established	Mar 97	
Literature Search	Jul 98	Oct 98
Site Visits by ASN (FM&C)	Sep 98	Oct 98
Preliminary Assessment of Checklist	Oct 98	Nov 98
Notional IC Structure	Jul 98	Nov 99
Review Field Audit Results	Nov 98	Dec 98
Develop IC Checklist	Nov 98	Dec 98
Draft Checklist	Nov 98	Dec 98
IC Handbook	Jun 99	Jul 99

Benefits

The qualitative benefit of the Enhancement of Organizational Internal Control Structures for Financial Statement Audits initiative is to enhance organizational internal control structure, which affects timeliness and reliability of accounting organizations, resulting in auditable financial statements.

U.S. Navy
Fund Administration and Standardized Document Automation System (FASTDATA)

Description   FASTDATA is an existing application utilized by a significant portion of the Navy General Fund Accounting ashore community as a source document and transaction system. Source transactions are initiated from non-accounting sources (cost centers) and relayed through comptroller staff (fund administrators) to the official accounting system. As a part of the effort to reduce the number of feeder systems, ASN (FM&C) designated FASTDATA as the Navy's primary source data tool for ashore field level activities (8 Apr 98 memorandum). The level of funding provided is being used to maintain the current version while translating its functionality to a graphical user interface (GUI) environment consistent with Windows 95, 98 and NT.

The FASTDATA initiative will focus on:

- consolidating and standardizing systems
- consolidating locations
- integrating with other communities
- improving data accuracy

Source of Discovery of Nonconformance   The sources of discovery of nonconformance for the FASTDATA initiative are general process improvement.

Objectives   The objective of the FASTDATA initiative is to:

- implement Year 2000 (Y2K) compliant system
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The FASTDATA office of primary responsibility and responsible manager are:

Assistant Secretary of the Navy (Financial Management and Comptroller)
<u>Program Manager</u>
Gary Naumann

DSN:
Comm: 202-685-6713
Fax:
E-mail: naumann.gary@fmo.navy.mil

Functional Category

The FASTDATA initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Receivables and Collections
- Procurement
- Accounts Payable
- Resource Planning and Reporting

Supporting System Architecture

The FASTDATA initiative receives source data from the following systems:

Source	Data Supplied	Rating
ATOS	Travel	Timely and Accurate
Express Purchase	IMPAC Card	Timely and Sometimes Accurate
MicroSNAP	Supply Transactions	Timely and Accurate
STARS-FL	Expenditure Transactions	Timely and Sometimes Accurate

The DoN PBAS initiative transmit data to the following systems:

Destination	Data Supplied	Rating
STARS-FL	Commitments, Obligations, A/P	Timely and Accurate
UADPS	Supply Transactions	Timely and Accurate

Milestones   The following milestones apply to the FASTDATA initiative:

Action	Begin Date	End Date
Y2K		Oct 98
Graphical User Interface (GUI) – Fund Administrator Module	Feb 98	Oct 98
Graphical User Interface (GUI) – Site Module	Aug 98	Jun 99

Benefits   The qualitative benefits of the FASTDATA are as follows:

FASTDATA controls funds usage, documents, and document numbers issued by non-accountants at cost centers (the people initiating work) thus improving data accuracy control of the regions operating budget. Individual Navy activities who are very remote or have a large number of cost centers find FASTDATA very effective in controlling their operations with shrinking resources.

U.S. Navy
General Property, Plant and Equipment Accountability Project

Description

The General Property, Plant and Equipment Accountability Project initiative will consolidate for accountability and financial accounting and reporting purposes, the General Property, Plant, and Equipment (PP&E) used or maintained by Navy and Marine Corps Non-working Capital Fund Activities. This initiative will implement the Defense Property Accountability System (DPAS) that will replace the following Navy PP&E systems:

- DAO Great Lakes System
- DAO Pearl Harbor
- DAO Norfolk
- Equipment Reporting Registration and Tracking System

The General Property, Plant and Equipment Accountability Project initiative will focus on:

- reengineering business processes
- consolidating and standardizing systems
- consolidating locations
- improving data accuracy

Title and Description of Nonconformance

The General Property, Plant and Equipment Accountability Project initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the following areas:

- data accuracy
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The actions prompting the General Property, Plant and Equipment Accountability Project initiative include:

- non-complinace with regulations
- auditing findings
- general process improvement

Objectives   The objectives of the General Property, Plant and Equipment
                        Accountability Project initiative are to:

                        • implement Year 2000 (Y2K) compliant system
                        • become compliant with regulations
                        • eliminate duplication of systems
                        • correct data inaccuracy
                        • integrate feeder systems

Responsible   The General Property, Plant and Equipment Accountability Project
Manager   initiative office of primary responsibility and responsible manager are:

                        Department of the Navy Organization Management and Infrastructure
                        Team (DONOMIT)
                        Program Manager
                        Charles P. Nemfakos

                        DSN:
                        Comm: (703) 681-0450
                        Fax:
                        E-mail: nemfakos.charles@hq.navy.mil

Functional   The General Property, Plant and Equipment Accountability Project
Category   initiative is functional level initiative that impacts the Personal and Real
                        Property Tracking and Accounting function.

Supporting System   The General Property, Plant and Equipment Accountability Project
Architecture   initiative receives source data from the following systems:

Source	Data Supplied	Rating
DAO Great Lakes	General PP&E Accountability	Sometimes Timely and Sometimes Accurate
DAO Pearl Harbor	General PP&E accountability	Sometimes Timely and Sometimes Accurate
DAO Norfolk	General PP&E accountability	Sometimes Timely and Accurate
Equipment Reporting Registration and Tracking System (ERRTS)	General PP&E accountability	Sometimes Timely and Sometimes Accurate

Milestones   The following milestones apply to the General Property, Plant and
                        Equipment Accountability Project initiative:

Action	Begin Date
Need Established	
Define CFO requirements and the PP&E systems needed to meet them	Oct 98
Assign cognizant individuals and teams.	Nov 98
Form technical team and time tables	Nov 98
Evaluate DPAS system	Dec 98
Develop plan to consolidate, eliminate, and standardize PP&E	Mar 99
Implement DPAS	Mar 99
Completion	

U.S. Navy
Installation Management Accounting Project (IMAP)

Description   The Installation Management Accounting Project (IMAP) initiative
provides management accounting information for shore installation
management use by capturing and reporting base operating support
costs in a standardized fashion with the boundaries for the Navy's
financial accounting system, Standard Accounting and Reporting
System Field Level (STARS-FL).

The IMAP initiative will focus on:

- consolidating and standardizing systems
- standardizing and warehousing data
- improving infrastructure (personnel)
- integrating with other communities
- improving data accuracy
- improving functional management and processes through improved
  cost viability

Source of Discovery   The sources of discovery of nonconformance for the IMAP initiative
of Nonconformance   are:

- general process improvement
- lack of standardized cost information to support function-based
  management information systems being developed by and for the
  Navy

Objectives   The objectives of the IMAP initiative are to:

- correct data inaccuracy
- improve internal controls
- "fix" the long-standing lack of meaningful cost information
  available to shore installation functional managers needed for
  improved decision-making

Responsible Manager

The IMAP office of primary responsibility and responsible manager are:

DoN, OPNAV N46-Shore Installation Mat Directorate
Program Manager
CDR Nick Zimmon

DSN:
Comm: (703) 917-7161
Fax:
E-mail: carnold@lmi.org

Functional Category

The IMAP initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Time and Attendance
- Civilian Pay
- Personnel
- Military Pay
- Resource Planning and Reporting

Supporting System Architecture

The IMAP initiative receives source data from the following system:

Source	Data Supplied	Rating
STARS	Cost, Cost Type, OBH	Timely and Sometimes Accurate

The IMAP initiative transmits data to the following system:

Destination	Data Supplied	Rating
DFAS	Statistical Milabor Dollars	Timely and Sometimes Accurate

Milestones

The following milestones apply to the IMAP initiative:

Action	Begin Date	End Date
Need Established	Dec 95	
Created and Signed Charter	May 96	May 96
Developmental Efforts	May 95	Feb 97
IMAP Business Model and Coding Approved	Mar 97	Apr 97

IMAP Guidance Issued by ASN	May 97	May 97
Field Activities Complying with Guidance	Oct 97	Oct 97
Analysis of Compliance Effort	Apr 98	May 98
STARS Coding for IMAP by FMSO	Apr 98	Ongoing
Proposed IMAP Implementation	Nov 98	Ongoing

Benefits   The following are qualitative benefits of the IMAP initiative:

- improved visability of costs at the functional level
- ability to make meaningful comparisons (function and cost performance across all shore installations regardless of size, geographic location major claimant and ownership)
- leads to force readiness and infrastructure improvements (this can occur via cost and performance analysis, development of meaningful, standardized performance metrics, ID of "Best-in-Class" performers and incentivization of positive performance changes

U.S. Navy
Marine Corps Transportation Management System (TMS)

Description   The Transportation Management System (TMS) will produce results in generating payments for Marine Corps transportation that will not result in KAR 7 and KAR 11 departures. The TMS compliancy initiative generates payments as a "feeder" system that is owned jointly by the Defense Finance and Accounting Service (DFAS) and the Marine Corps.

Program support for the TMS compliancy initiative is provided by the Marine Corps personnel at the Marine Corps Logistics Base in Albany, GA. The system generates payments for Marine Corps transportation, and interfaces with the Marine Corps Expenditure Reimbursement Reporting System (MCERRS), which in turn feeds into the Standard Accounting Budgeting & Reporting System (SABRS).

The TMS compliancy initiative will focus on improving data accuracy.

Type and Description of Nonconformance   The TMS compliancy corrects weaknesses that have a critical impact on financial operations or data that impacts and involves, the Key Accounting Requirement (KAR) 7 - System Controls and KAR 11 - System Operation departures. These weaknesses can result in errors and out-of-balance conditions that are subsequently reflected on trial balance and financial management reports.

Source of Discovery of Nonconformance   The following actions prompted the TMS compliancy initiative:

- system manager and user reviews
- audits
- management control reviews

Objectives   The TMS compliancy initiative will produce results in generating payments for the Marine Corps transportation that will not result in KAR 7 and KAR 11 departures.

Responsible Manager

The TMS compliancy initiative office of primary responsibility and responsible manager are:

DFAS-KC
Program Manager
Jamie Christie

DSN:
Comm: (816) 926-1304
Fax:
E-mail:

Functional Category

The TMS compliancy initiative is a function level initiative that impacts, Transportation Pay.

Supporting System Architecture

The TMS compliancy transmits data to the MCERRS system.

Pace of Corrective Action

The following table shows the pace of corrective action for the TMS compliancy initiative:

Year Identified	FY 94
Targeted Correction Date in Last Year's Report	N/A
Current Target Date	FY 98
Reason for Change in Date(s)	Current initiatives changed and implementation target dates were changed by the DFAS-HQ and the Program Management Office (PMO)

Validation Process

The validation process for the TMS compliancy initiative will be:

- management review of the transfer of processes to new supporting systems
- customer feedback

Results of Validation   The result of validation for the TMS compliancy initiative is processing data successfully by using systems currently resident at the DFAS-KC or the DFAS-IN.

U.S. Navy
Navy Facility Assets Database (NFADB)

Description

The Navy Facilities Assets Database (NFADB) initiative will examine the feasibility of modifying or enhancing the NFADB, which is used for Department of the Navy facility management. The assessment will determine whether the system can be cost effectively modified to provide the information requirements of the Federal Accounting Standards Advisory Board's guidelines or replace it with another system or application as the single DoN repository for real property.

The NFADB initiative will focus on:

- reengineering business processes
- standardizing and warehousing data
- improving data accuracy

Title and Description of Nonconformance

The NFADB initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of:

- data accuracy
- internal controls
- compliancy with regulations

Source of Discovery of Nonconformance

The actions that prompted the NFADB initiative are:

- non-compliance with regulations
- general process improvement

Objectives

The NFADB initiative will produce results in the following areas:

- become compliant with regulations
- correct data inaccuracy
- improve internal controls

Responsible Manager

The NFADB office of primary responsibility and responsible manager are:

Department of the Navy Organization Management and Infrastructure
Program Manager
Charles Nemfakos
Deputy Under Secretary of the Navy

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Comm: (703) 681-0482
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E-mail: Nemfakos.charles@hq.navy.mil

Functional Category

The NFADB initiative is a functional level initiative that impacts the Real Property Accountability and Reporting function.

Supporting System Architecture

The NFADB initiative receives source data from the following system:

Source	Data Supplied	Rating
Naval Facility Asset Database	Real Property	Sometimes Timely Sometimes Accurate

Milestones

The following milestones apply to the NFADB initiative:

Action	Begin Date	End Date
Need Established: Issuance of SFFAS No. 6 / USD(C) FMR	Oct 97 and Mar 98	
Identify Real Property Requirements	Jan 98	Sept 98
Establish Project Lead (DODOMIT)	Oct 98	Oct 98
Form Technical Team and set Time Table	Oct 98	Dec 98
Analyze Data Elements of the System and Match to Standards	Jan 99	Feb 99
Analyze System for Areas of Non-Compliance	Feb 99	Mar 99
Analyze System Modifications to become Compliant	Mar 99	Jun 99
Identify System Modification Cost	Jun 99	Jul 99
Research Feasibility and Cost to add Module Augment System	Jul 99	Sep 99

Benefits   The qualitative benefits of the NFADB initiative are to meet the FASAB
                      requirements for real property, contribute to producing accurate and
                      timely data for managers, and producing auditable financial statements.

U.S. Navy
Problem Disbursement Improvement Project

Description   The Problem Disbursement Improvement Project will coordinate and
                          monitor improvement efforts between the Department of the Navy and the
                          Defense Finance and Accounting Service to identify systemic causes and
                          to implement business process changes and system enhancements to
                          reduce problem disbursements to an acceptable level for CFO
                          compliancy. The FY 1999 goal is $1.5 million net problem disbursement
                          balance.

                          The Problem Disbursement Improvement Project will focus on:

                          • reengineering business processes
                          • integrating with other communities
                          • accessing internal controls
                          • improving data accuracy

Source of Discovery   The sources of discovery of nonconformance for the Problem
of Nonconformance   Disbursement Improvement Project initiative are:

                          • non-compliance with regulations
                          • auditing findings
                          • general process improvement

Objectives   The objectives of the Problem Disbursement Improvement Project
                          initiative are to:

                          • become compliant with regulations
                          • correct data inaccuracy
                          • integrate feeder systems
                          • improve internal controls

Responsible Manager

The Problem Disbursement Improvement Project office of primary responsibility and responsible manager are:

Assistant Secretary of the Navy
(Financial Management and Comptroller Office of Financial Operations)
<u>Program Manager</u>
Vicki L. Beck

DSN:
Comm: 202-685-6749
Fax:
E-mail: beck.vicki@fmo.navy.mil

Functional Category

The Problem Disbursement Improvement Project initiative is a cross functional initiative that impacts the following functions:

- Cost Accounting
- Receivables and Collections
- Working Capital Fund Accounting
- Accounts Payable
- Disbursing
- Appropriated Funds Accounting

Supporting System Architecture

The Problem Disbursement Improvement Project initiative receives source data from the following systems:

Source	Data Supplied	Rating
Command On-Line Posting to Accounting Systems	Contractual Obligations	Timely and Sometimes Accurate
Payments to Vendors	Invoices	Sometimes Timely and Sometimes Accurate
FRS/CERPS (DFAS Registration Systems to Treasury)	Disbursements Collections	Sometimes Timely and Sometimes Accurate
Contractual Documents to Payment Office	Copies of Contracts to Authorize Payment	Sometimes Timely and Sometimes Accurate

The Problem Disbursement Improvement Project transmits data to the following systems:

Destination	Data Supplied	Rating
Unresolved Problem Disbursements within 120 Days to DoN Commands	Listings from DFAS-Requires DoN Continue Research	Sometimes Timely and Sometimes Accurate
Problem Disbursements Greater than 180 Days to DoN Commands	Listing form DFAS-Lack of Obligations	Sometimes Timely and Sometimes Accurate

Milestones

The following milestones apply to the Problem Disbursement Improvement Project initiative.

Action	Begin Date	End Date
Need Established by GAO Report: Navy Records Contain Billions of Dollars in Unmatched Disbursements	Jun 93	Jun 93
DoN Establish Process Action Team to Review Systemic Causes of Problem Disbursements and Recommend Corrections	May 94	Aug 94
DoN Establish Problem Disbursement Group at ASN Level	Feb 95	Feb 95
DoN Establish PO&AM for Reducing Problem Disbursements	Mar 95	Ongoing
DoN Commands Establish Problem Disbursement Teams	Apr 95	Apr 95
DoN Disseminate USD(C) Problem Disbursement Policy	Aug 95	Ongoing
DoN Establish Yearly Problem Disbursement Reduction Goals	Oct 95	Revised Yearly
DoN Quarterly Obligation Review	Dec 95	Ongoing
DoN Publish Contract Writing Guide	Mar 96	Sept 96
DoN Develop Intransit Remediation Plan	May 98	Dec 98
Completion	Sep 99	

Benefits   The following are the qualitative benefits for the Problem Disbursement
                         Improvement Project:

- improves data accuracy and timeliness of the DoN's financial
  position
- implements single source data entry and rejection of errors back to
  the source
- improves integrity of disbursement and collection processes

U.S. Air Force
Acquisition and Due-in System (ADIS)

Description

Acquisition and Due-in System (ADIS), J041, acts as a repository for information regarding acquisitions via contracting, reclamation, bailment, or loan.

The ADIS will focus on integrating with other communities.

Title and Description of Nonconformance

The ADIS corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the ADIS was the need to provide information regarding acquisitions.

Objectives

The ADIS will produce results in the area of integrating feeder systems.

Responsible Manager

The ADIS office of primary responsibility and responsible manager are:

AFMC
Program Manager
Walt Wilson

DSN: 785-3992
Comm:
Fax:
E-mail:

Functional Category

The ADIS is a system that impacts, Procurement.

Supporting System Architecture

The ADIS initiative transmits data to the following system:

Destination	Data Supplied	Rating
Central Procurement Accounting System (CPAS)	Contracting Information	Timely and Accurate

Benefits   A qualitative benefit of the ADIS is to be a repository for information
                                regarding acquisitions via contracting, reclamation, bailment, or loan.

U.S. Air Force
Aerospace Vehicle Resource System (AVRS)

Description	Aerospace Vehicle Resource System (AVRS) A302, provides a current record of assigned test aerospace vehicles.

The AVRS will focus on integrating with other communities. |

Title and Description of Nonconformance

The AVRS corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the AVRS was the need to establish an inventory of assigned test aerospace vehicles.

Objectives

The AVRS produces results in the area of integrating feeder systems.

Responsible Manager

The AVRS office of primary responsibility and responsible manager are:

AFMC/DOO
<u>Program Manager</u>
Charles Welty

DSN: 787-8491
Comm:
Fax:
E-mail:

Functional Category

The AVRS is a system that impacts, Property, Plant, and Equipment.

Supporting System Architecture

The AVRS transmits data to the following system:

Destination	Data Supplied	Rating
General Accounting and Finance System (GAFS)	Vehicle Data	Timely and Accurate

Benefits   A qualitative benefit of AVRS is providing records of assigned test
                      aerospace vehicles.

U.S. Air Force
Airlift Services Industrial Fund Integrated Computer System (ASIFICS)

Description

Airlift Services Industrial Fund Integrated Computer System (ASIFICS), H066B, supports accounting, budgeting, and analysis functions for the Air Mobility Command (AMC). It maintains billing data pertaining to cargo, mail, and passenger airlift movement. ASIFICS is an existing system with no replacement plans.

The ASIFICS will focus on integrating with other communities.

Source of Discovery of Nonconformance

The action prompting the ASIFICS was the need to maintain billing data for transportation.

Objectives

The ASIFICS will produce results in integrating feeder systems.

Responsible Manager

The ASIFICS office of primary responsibility and responsible manager are:

AMC/FMPJ
Program Manager
Denise Cavanaugh

DSN: 576-3553
Comm:
Fax:
E-mail:

Functional Category

The ASIFICS is a system that impacts the following functions:

- Receivables and Collections
- Transportation Accounting

Supporting System Architecture

The ASIFICS initiative transmits data to the following system:

Destination	Data Supplied	Rating
DFAS-DE IAD	Billing Information	Timely and Accurate

Benefits   A qualitative benefit of ASIFICS is maintaining billing data pertaining to cargo, mail, and passenger airlift movement.

U.S. Air Force
Automated Business Services System (ABSS)

Description

The Automated Business Services System (ABSS) is the Secretary of the Air Force/Financial Management's (SAF/FM's) response to the Vice Presidential mandate to provide paperless acquisition in the Department. The ABSS has established automated purchase request documents that electronically feed the accounting and contracting systems with commitment data. The contracting systems in turn, will ultimately feed the accounting and payment systems with the same long accounting lines using their own electronic interfaces.

The combination of ABSS accountability initiative and the Contracting system interfaces will provide the Air Force a seamless automated entry of financial data into all systems resulting in fewer errors in recording the long accounting lines, that presently occur at numerous intervals along a manually laden process. Data entry errors and lack of data have been cited by a Contractor study as the cause of over 50 percent of negative unliquidated obligations (NULOs) and unmatched disbursements (UMDs) for the Air Force. The Air Force has obligated $100 million to date to cover NULOs and UMDs.

The ABSS accountability initiative eliminates the need for paper in the commitment document process. An operational version of the system is already experiencing 70 percent savings in the time to initiate a document through certification by the Financial Services Office (FSO). The system will soon release a feature providing an electronic notification, requesting a receiver of an item to fill out a receiving report and to electronically route it to the paying station. This feature will provide timely payments and provide opportunities to take advantage of discounts and reduce interest penalties. The ABSS will help directly offset the large Outsourcing and Privatization (O&P) decrement to the Acquisition community.

The ABSS accountability initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The ABSS accountability initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the areas of:

- data accuracy
- internal controls

The ABSS accountability initiative also corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds and manual intervention in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the ABSS accountability initiative was general process improvement.

Objectives

The results of the ABSS accountability initiative include:

- implement Year 2000 (Y2K) complaint system
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The ABSS accountability initiative office of primary responsibility and responsible manager are:

HQ AFMC/FMFA
Program Manager
Mark Ernst

DSN: 787-7366
Comm:
Fax:
E-mail: ernstg@wpgate1.wpafb.af.mil

Functional Category

The ABSS accountability initiative is a system level initiative that impacts, the following functions:

- Cost Accounting
- Receivables and Collections
- Procurement
- Personal and Real Property Tracking and Accounting
- Working Capital Fund Accounting
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

The ABSS accountability initiative is part of a larger initiative. The ABSS is a subset of the Vice Presidential mandate for paperless acquisition. The ABSS complements initiatives from the procurement and the Defense Finance and Accounting Service (DFAS) community to accomplish this mandate.

The ABSS accountability initiative is part of the related initiative, Automated Purchase Card System (APCS). The APCS reconciles credit card purchases with bank statements.

Supporting System Architecture

The ABSS accountability initiative receives source data from the following systems:

Source	Data Supplied	Rating
Base Contracting Acquisition System (BCAS)	Contract File	Timely and Accurate
General Accounting Finance System (GAFS)	Status of Funds and Accounting Field Validations	Timely and Accurate
Central Procurement Accounting Sysem (CPAS)	Status of Funds	Timely and Accurate
Integrated Accounts Payable System (IAPS)	Status of Funds	Timely and Accurate
Contract Writing System (ConWrite)	Contract Files	Timely and Accurate
Standard Procurement System (SPS)	Contract Files	Timely and Accurate

Job Order Cost Accounting System (JOCAS)	Job Order Numbers and Cost Accounting Tracking	Timely and Accurate
Automated 181 system (J025A)	Status of Funds for Working Capital – Supply Management Activity Group (SMAG)	Timely and Accurate
Integrated Finance Accounting System (IFAS)	Status of Funds for Working Capital ISAG	Timely and Accurate
Integrated Finance Tracking System (IFTS)	Status of Funds for Management Information System	Timely and Accurate

The ABSS accountability initiative transmits data to the following systems:

Destination	Data Supplied	Rating
GAFS	Commitments	Timely and Accurate
CPAS	Commitments	Timely and Accurate
BCAS	Procurement Data	Timely and Accurate
ConWrite	Procurement Data	Timely and Accurate
SPS	Procurement Data	Timely and Accurate
Automated 181 System (J025A)	Commitment for Working Capital – SMAG	Timely and Accurate
IFAS	Commitment for Working Capital ISAG	Timely and Accurate

Milestones

The following milestones apply to the ABSS accountability initiative:

Action	Begin Date	End Date
Need Established	Sep 95	
Fund Provided	Sep 96	Aug 98
Baseline Version 0.0 – electronic forms, electronic routing, multiple fund types, electronic coordination, etc.	Sep 96	Feb 97
Version 0.1 – GAFS, CPAS interface, Optional Routing, Bitmap Electronic signature, password security	Feb 97	Jul 97
Version 1.0 – BCAS interface, GAFS interface, Foreign Currency, DD250, External Routing, Route to OPLOC	Jul 97	May 98
Version 1.0.1 – Inquiry Functionality,	Dec 97	Oct 98

MART Validation, Load Unfilled Orders into GAFS, Track incoming funds (reimbursables for JOCAS)		
Version 1.1.1 – Y2K fixes for interface, BCAS interface enhancements, generic receiving report, USAFE form 100	Mar 98	Jan 99
Version 1.1.2 – GAO approved electronic signature, IFAS, J025A, SPS interface, EEIC validation, RCCC validation	May 98	Apr 99
Version 1.1.3 – Post IAPS, CPAS, GAFS, obligations, Accounting classification screen, JON account ID, PSR for commitments	Oct 98	Sep 99
Deployment – Air Force Wide	Feb 97	Sep 01

Benefits   The following are qualitative benefits of the ABSS accountability initiative:

- generate electronic forms and funding documents
- user specific pick screens and lists for form data population
- automatic routing for internal and external coordination
- electronic signature
- automated commitments
- automated upload of standard contracting systems

U.S. Air Force
Automated Civil Engineer System (ACES)

Description   The Automated Civil Engineer System (ACES) is a migration of data
and functionality from the existing WIMS functionality to a non-
proprietary open system. The ACES will operate on a communications
infrastructure built upon the AF/CE local area network program.

The ACES migratory initiative is the U.S. Air Force's project initiative
envisioned as the next step in the evolutionary phase of Civil Engineer
Systems. The current Work Information Management System (WIMS)
is composed of a collection of Common Business Oriented Language
(COBOL) programs and is being converted to a third normal,
Department of Defense (DoD) Data Dictionary Compliant, Oracle
Relational Database Management System (RDBMS) application.

Defense Management Review Directive (DMRD) 924 requires systems
to standardize and migrate to open architecture and consolidate system
data at regional Defense Mega Centers (DMCs). The initial
requirement of DMRD 924 is being met with an Interim-WIMS
solution that moves the legacy system from the proprietary WANG
computer platform to an open system.

Software development for the ACES migratory initiative is based on a
client-server architecture using the Oracle RDBMS as the core. Under
this concept the database will be maintained on the server at the DMC
and the client will run the application as an icon or window on the
desktop.

Computer Aided Software Engineering (CASE) tools available with
Oracle make software developers highly productive by eliminating the
need to write individual lines of programming code. The result is a
major reduction in code development and modification, allowing more
time for analysis and improvement of business processes. ACES,
version 1, will include all:

- resource and asset management
- work control
- housing
- fire flight emergency response
- other functionality required to replace the existing WIMS

The ACES migratory initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- improving data accuracy

Title and Description of Nonconformance

The ACES migratory initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the areas of:

- data accuracy
- internal controls

Source of Discovery of Nonconformance

The following areas prompted the ACES migratory initiative:

- general process improvement
- CFO Act requirements

Objectives

The ACES migratory initiative will produce results in the following areas:

- general process improvement
- DMRD 924

Responsible Manager

The ACES migratory initiative office of primary responsibility and responsible manager are:

HQ AFCES/CEOA
Program Manager
Garry Lee

DSN:
Comm: (850) 283 -6416
Fax:
E-mail:

Functional Category

The ACES migratory initiative is a system level initiative that impacts the following functions:

- Cost Accounting
- Inventory Tracking and Accounting
- Personal and Real Property Tracking and Accounting
- Civil Engineer System
- Personnel
- Accounts Payable
- Disbursing
- Resource Planning and Reporting

Milestones

The following milestones apply to the ACES migratory initiative.

Action	Begin Date	End Date
Need Established	Jan 94	Mar 94
LAN Infrastructure	Dec 94	Sep 99
ACES Real Property Proof of Concept	Mar 97	Sep 97
GCSS-AF Contract	Sep 97	Dec 98
CFO Compliance	Jun 98	Dec 98
Completion		Sep 99

U.S. Air Force
Automated Purchase Card System (APCS)

Description   The Automated Purchase Card System (APCS) was designed to streamline and automate the process of International Merchant Purchase Authorization Card (IMPAC) transactions. The APCS imports the Statement Billing File (SBF) from a commercial bank, includes accounting classification information from the General Accounting and Finance System (GAFS), and produces purchase card transactions that are used for reporting, billing, payment, validation, electronic funds transfer, and reconciliation.

The APCS will focus on the following:

- reengineering business processes
- integrating with other communities
- improving data accuracy

Title and Description of Nonconformance   The APCS corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the areas of:

- data accuracy
- ability to integrate with other communities

Source of Discovery of Nonconformance   The area prompting the APCS was the need for general process improvement. The IMPAC program has and continues to increase in scope, while manpower decreased; an automated solution is necessary.

Objectives   The APCS will produce results in:

- correcting data inaccuracy
- automating manual processes

Responsible Manager

The APCS office of primary responsibility and responsible manager are:

HQ AFMC
<u>Program Manager</u>
Mark Sargent

DSN: 986-0876
Comm:
Fax:
E-mail:

Functional Category

The APCS is a system that impacts the following functions:

- Procurement
- Accounts Payable

Supporting System Architecture

The APCS receives source data from the following system:

Source	Data Supplied	Rating
US Bank's Corporate Payment System	CPS Billing Statement	Timely and Accurate

The APCS transmits data to the following system:

Destination	Data Supplied	Rating
GAFS	Accounting Data	Timely and Accurate
Integrated Paying and Collection System (IPC)	Disbursing Data	Timely and Accurate

Milestones

The following are milestones for the APCS:

Action	Begin Date	End Date
Need Established	Feb 98	
Implementation	Dec 98	Apr 99
Completion		Apr 99

Benefits   The qualitative benefits of the APCS include:

- reducing workload for financial services offices and operating locations
- decreasing payment preparation time
- minimizing interest penalty payments
- increasing rebates from the bank
- providing prompt payment act report data
- reducing bank and accounting system posting errors
- prohibiting duplicate payments
- providing an automated tool to accomplish the required functions

U.S. Air Force
Commercial Operations Integrated System (COINS)

Description	The Commercial Operations Integrated System (COINS), H004, provides information used to contract and pay for commercial airlift services.
	The COINS will focus on integrating with other communities.
Title and Description of Nonconformance	The COINS corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.
Source of Discovery of Nonconformance	The action prompting the COINS was the need to track contracts for commercial airlift services.
Objectives	The COINS will produce results in integrating feeder systems.
Responsible Manager	The COINS office of primary responsibility and responsible manager are:

AMC
<u>Program Manager</u>
Major John H. Stone III

DSN: 576-3584
Comm:
Fax:
E-mail:

Functional Category	The COINS is a system that impacts the Transportation function.

Supporting System Architecture   The COINS transmits data to the following systems:

Destination	Data Supplied	Rating
Merged Accountability and Fund Reporting (MAFR)	Disbursements	Timely and Accurate
General Accounting and Finance System (GAFS)	Accrued Expenditures Unpaid	Timely and Accurate

Benefits   The following are qualitative benefits of the COINS:

- tracking contracts
- paying for commercial services

U.S. Air Force
Comprehensive Engine Management System (CEMS)

Description   The Comprehensive Engine Management System (CEMS) is the U.S.
Air Force (USAF) standard system for all aspects of propulsion unit
management including:

- inventory
- accounting
- pipeline
- TCTO and configuration management
- critical parts life tracking and maintenance management
- warranty tracking
- actuarial analysis

The CEMS supports base-level, depot, command, and contractor
requirements at all levels. The CEMS is fully Chief Financial Officers'
(CFO) Act compliant at this time and is fully fielded.

The CEMS will focus on integrating with other communities.

**Title and
Description of
Nonconformance**   The CEMS corrects weaknesses that have a critical impact on financial
operations or data that involves inefficient and unnecessary procedures
that result in the reduction of operational readiness or effectiveness in
the ability to integrate with other communities.

**Source of Discovery
of Nonconformance**   The action prompting the CEMS was the need for logistics support.

Objectives   The CEMS will produce results in integrating feeder systems.

Responsible Manager   The CEMS office of primary responsibility and responsible manager are:

AF/ILMY
SA-ALC/TILC
<u>Program Manager</u>
Charles F. Osborn

DSN: 945-0441
Comm:
Fax:
E-mail:

Functional Category   The CEMS is a system that impacts, Property, Plant, and Equipment.

Benefits   A qualitative benefit of the CEMS is support of base-level, depot, command, and contractor requirements at all levels.

U.S. Air Force
Contract Depot Maintenance Production & Cost System
(DMIF-DEPOTPROD)

Description   Contract Depot Maintenance Production and Cost System (DMIF-DEPOTPROD), G072D, provides management reporting and a resource planning system for planned and actual costs of performing organic maintenance. The DMIF-DEPOTPROD is being redesigned to overcome shortfalls in accounting capabilities, internal controls, and timeliness.

In conjunction with the Government Furnished Material and End Item Transaction Reporting System (GFMTR), this system will replace the Accounting System for Industrial Fund Procurement of GFM (DMIF-IFPGFM, H075C).

The DMIF-DEPOTPROD replacement and redesigning initiative will focus on integrating with other communities.

Title and Description of Nonconformance   The DMIF-DEPOTPROD replacement and redesigning initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance   The action prompting the DMIF-DEPOTPROD replacement and redesigning initiative was the need to document planned and actual costs of performing organic maintenance.

Objectives   The DMIF-DEPOTPROD replacement and redesigning initiative will produce results in the area of integrating feeder systems.

Responsible Manager

The DMIF-DEPOTPROD replacement and redesigning initiative office of primary responsibility and responsible manager are:

AFMC/FMR
Program Manager
Ann Fee

DSN: 787-4654
Comm:
Fax:
E-mail:

Functional Category

The DMIF-DEPOTPROD replacement and redesigning initiative is a function level initiative that impacts, Working Capital Fund Accounting.

Supporting System Architecture

The DMIF-DEPOTPROD replacement and redesigning initiative transmits data to the Defense Industrial Financial Management System (DIFMS)

Benefits

A qualitative benefit of the DMIF-DEPOTPROD replacement and redesigning initiative is providing management reporting and resource planning.

U.S. Air Force
Air Force Materiel Command (AFMC)
Depot Maintenance Activity Group (DMAG)
Time and Attendance (T&A) (H117) Systems

Description

The Air Force Materiel Command (AFMC) Depot Maintenance Activity Group (DMAG) develops a sales rate from Maintenance Labor Distribution and Cost System (G037G) data.

Title and Description of Nonconformance

The DMAG corrects weaknesses that have a critical impact on financial operations or data that impacts and involves incomplete data entered into Time and Attendance (T&A) (H117) systems. When the payroll system, Defense Civilian Pay System (DCPS) was implemented it created a void that required dual entry into the payroll and AFMC DMAG H117 systems.

Source of Discovery of Nonconformance

The sources of discovery of nonconformance for the DMAG are:

- system manager and user reviews
- Chief Financial Officers' (CFO) Financial Statement Audits

Objectives

The objective of the DMAG is to enhance the T&A Reporting System (H117), which is now an approved Source Data Automation (SDA), for interfacing to Defense Civilian Pay System (DCPS).

Responsible Manager

The DMAG office of primary responsibility and responsible manager are:

Defense Finance and Accounting Service –Denver Center (DFAS-DE)
Program Manager
A.W. Landrigan, DFAS-DE/AAB

DSN: 787-7472
Comm:
Fax:
E-mail:

Functional Category

The DMAG is a system that impacts the following functions:

- General Ledger Accounting
- Property and Inventory Accounting
- Cost Accounting
- Fund Control
- Accounts Receivable Accounting
- Accounts Payable Accounting
- Budget Execution and Expenditure Accounting
- Financial Reporting

Supporting System Architecture

The DMAG receives source data from the following systems:

- Resource Control Center (RCC)
- DCPS

Pace of Corrective Action

The following table shows the pace of corrective action for the DMAG:

Year Identified	FY 96
Targeted Correction Date in Last Year's Report	September 30, 1997
Current Target Date	September 30, 1997
Reason for Change in Date(s)	N/A

Validation Process

The validation process for the DMAG will be:

- transaction testing
- evaluations
- reviews

All affected system users will participate in testing, as well as SDA and receiving system personnel.

Results of Validation

The results of validation for the DMAG are:

- financial statements that fairly present the results of operations and financial position
- reasonable compliance with laws and regulations for those transactions and events that may have a material effect on the financial statements

U.S. Air Force
Facility and Equipment Maintenance System (FEMS)

Description

New Facility and Equipment Maintenance System (FEMS) tracks all work and costs associated with equipment and facility maintenance at the air logistics centers. The FEMS will replace the following systems:

- Facility Equipment Planning Programming and Control System (FEPPC), G011
- Test Measurement and Diagnostic Equipment System (TMDE), G004A/PT

The FEMS replacement initiative will focus on the following:

- Reengineering business processes
- Consolidating and standardizing systems
- Integrating with other communities
- improving data accuracy

Title and Description of Nonconformance

The FEMS replacement initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the areas of:

- data accuracy
- ability to integrate with other communities

Source of Discovery of Nonconformance

The action prompting the FEMS replacement initiative was general process improvement.

Objectives

The FEMS replacement initiative will produce results in the following areas:

- eliminate duplication of systems
- integrate feeder systems

Responsible Manager

The FEMS replacement initiative office of primary responsibility and responsible manager are:

Air Force Material Command
Program Manager
Sylvester Cleveland

DSN: 787-8881
Comm:
E-mail:

Functional Category

The FEMS replacement initiative is a function level initiative that impacts, Property, Plant, and Equipment.

Benefits

A qualitative benefit of the FEMS replacement initiative includes the tracking of work and costs associated with equipment and facility management.

U.S. Air Force
Financial Information Resources Systems (FIRST)

Description

The legacy systems which carry out the Air Force budget functions at all budget levels are in desperate need of modernization. The Financial Information Resources System (FIRST), will integrate the Air Force's numerous budget systems:

- Base Budget Automated System (BBAS)
- Microcomputer Budget Automated System (MicroBAS)
- Command Budget Automated System (CBAS)
- Automated Budget Interactive Data Environment System (ABIDES)

Several major change decisions were made during 1998 to improve and accelerate delivery of the FIRST system.

The FIRST integration initiative implements USD(C) directed Budget and Accounting Classification Coding (BACC) structure, significantly improves business processes, and enables a "cradle-to-grave" tracking of programs as previous coding structures prohibited extensive tracking desired by the Air Force appropriation analysts and program managers.

The FIRST integration initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The FIRST integration initiative corrects weaknesses that have a critical impact on financial operations or data that involve:

- violation of statutory requirements, fraud, or other criminal activities that go undetected in data accuracy
- mission impairment requiring excessive overrides, work-arounds, and manual intervention in internal controls
- inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities

**Source of Discovery
of Nonconformance**   The action prompting the FIRST integration initiative was general
process improvement.

Objectives   The FIRST integration initiative will produce the following results:

- implement Y2K compliant system
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

**Responsible
Manager**   The FIRST integration initiative office of primary responsibility and
responsible manager are:

ESC/FN
Program Manager
Jerry Duke

DSN: 787-0509
Comm:
Fax:
E-mail: dukej@msg.wpafb.af.mil

**Functional
Category**   The FIRST integration initiative is a function level initiative that impact
the Resource Planning, and Reporting function.

**Supporting System
Architecture**   The FIRST integration initiative receives source data from the following
systems:

Source	Data Supplied	Rating
Program Data System (PDS)	Aircraft and Missile Authorizations, Flying Hours, and Inventory	Timely and Accurate
Defense Finance and Accounting Service (DFAS)	SIK , DPXC and Defense Business Operating Fund (DBOF) Reports; Permanent Change of Station (PCS) Analysis; 7122 Summary; 7102 Status	Timely and Accurate
DFAS, CPIM, FAST, TOGS, and FEDS	Resource Data	Timely and Accurate

| Job Order cost Accounting System (JOCAS) | AFMC Cost Accounting Data | Timely and Accurate |
| CAIG | Cost Factor Data | Timely and Accurate |

The FIRST integration initiative transmits data to the following systems:

Destination	Data Supplied	Rating
DFAS	Accounting Change Request	Timely and Accurate
General Accounting and Finance System (GAFS), Central Procurement Accounting System (CPAS), Standard Base Supply System (SBSS) and Standard Materiel Accounting System (SMAS), MMAS, Corps of Engineers Financial Management System (CFEMS), Navy, COARS Departmental On-line Accounting and Reporting System (DOLARS), and Case Management Control System (CMCS)	Funds Distribution	Timely and Accurate
OSD	Program Budget Submission and Program Budget Guidance and Policy	Timely and Accurate

Benefits   The following are qualitative benefits of the FIRST integration initiative:

- integrates all budget processes
- provides financial information to users ranging from squadron resource advisors to the Air Force corporate leadership
- implements BACC
- streamlines the budget process into one cohesive system

U.S. Air Force
Financial Inventory Accounting and Billing System (FIABS)

Description

The Financial Inventory Accounting and Billing System (FIABS), D035J, functions will be taken over by SMAS. The FIABS is a subsystem of the Special Support Stock Control and Distribution System (SSSCD). The FIABS processes transactions describing inventory activity of the General Support Division, the System Support Division, the Repairable Support Division and some investment items for the General Funds General Ledger.

The accounting module of FIABS provides the means for recording financial and program data related to the accounting, billing, and management of the Air Force Materiel Command (AFMC) stock funds and investment items. The FIABS performs the wholesale accounting function in conjunction with the Central Procurement Accounting System (CPAS), the General Accounting and Finance System (GAFS), and the Standard Materiel Accounting System (SMAS).

The FIABS replacement initiative provides an on-line transaction processing management and accounting system for use at air logistics centers (ALCs) and headquarters AFMC.

The FIABS replacement initiative will focus on integrating with other communities.

Title and Description of Nonconformance

The FIABS replacement initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effective in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the FIABS replacement initiative was general process improvement.

Objectives

The FIABS replacement initiative will produce results by integrating feeder systems.

Responsible Manager

The FIABS replacement initiative office of primary responsibility and responsible manager are:

U.S. Air Force
<u>Program Manager</u>
Terri Keithley

DSN:
Comm:
Fax:
E-mail:

Functional Category

The FIABS replacement initiative is a cross functional level initiative that impacts the following functions:

- Inventory Tracking and Accounting
- Working Capital Fund Accounting

The FIABS replacement initiative is part of the larger SMAS II project initiative. Functions of the FIABS will be taken over by SMAS.

Supporting System Architecture

The FIABS replacement initiative receives source data from the following systems:

Source	Data Supplied	Rating
GAFS	Interfund Billings	Timely and Accurate
CPAS	Commitments, Obligations, and Expenses	Timely and Accurate

The FIABS replacement initiative transmits data to the following systems:

Destination	Data Supplied	Rating
GAFS	Interfund Data and Accounts Receivables	Timely and Accurate
CPAS	Obligations and Interfund Billings	Timely and Accurate
SMAS	Trial Balance	Timely and Accurate
Customers	Billings	Timely and Accurate

Benefits   The following are qualitative benefits of the FIABS replacement
                        initiative:

- updates the local inventory control information
- records the appropriate financial transactions
- provides a basis for billing the customer

U.S. Air Force
Government Furnished Material & End Item Transaction Reporting System (GFMTR)

Description

Government Furnished Material and End Item Transaction Reporting System (GFMTR) G009, will replace the Accounting System for Industrial Fund Procurement of GFM (DMIF-IFPGFM, H075C).

The GFMTR replacement initiative provides products and reports relative to inventory used in support of repair, overhaul, modification, and other types of contracts involving government furnished material (GFM). The GFMTR system is being re-engineered to:

- provide daily visibility of assets at commercial repair facilities to the material manager data system
- consolidate divisions of the stock fund
- incorporate functionality of the H075C
- provide compliance with the Chief Financial Officers' (CFO) Act accounting procedures
- add capability to track government furnished material

In conjunction with the Contract Depot Maintenance Production and Cost System (DMIF-DEPOTPROD), this system will replace the Accounting System for Industrial Fund Procurement of GFM (DMIF-IFPGFM, H075C).

The GFMTR replacement initiative will focus on integrating with other communities.

Title and Description of Nonconformance

The GFMTR replacement initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the GFMTR replacement initiative was to provide products and reports relative to inventory in support of repair, overhaul, and modification of government furnished property.

Objectives

The result of the GFMTR replacement initiative is to integrate feeder systems.

Responsible Manager

The GFMTR replacement initiative office of primary responsibility and responsible manager are:

AFMC/FMR
<u>Program Manager</u>
Ann Fee

DSN: 787-4654
Comm:
Fax:
E-mail:

Functional Category

The GFMTR replacement initiative is a function level initiative that impacts, Property, Plant, and Equipment.

Supporting System Architecture

The GFMTR replacement initiative transmits data to the following system:

Destination	Data Supplied	Rating
DFIMS	Government Furnished Material	Timely and Accurate

Benefits

The following are qualitative benefits of the GFMTR replacement initiative:

- provide visibility of assets at commercial repair facilities to the material manager data system
- consolidate divisions of the stock fund,
- incorporate functionality of the H075C
- provide compliance with CFO accounting procedures
- add capability to track government furnished material

U.S. Air Force
Information Processing Management System (IPMS)

Description

The Information Processing Management System (IPMS) is a comprehensive, centralized communication-computer configuration management system that provides positive control of hardware and software within the Air Force environment through the integration of the following functions:

- budget projections
- ordering inventory
- maintenance tracking
- "what if " simulations

IPMS is responsible for the standard communications-computer equipment inventory for the entire Air Force.

Objectives

The objective of the IPMS is to provide an integrated and comprehensive means of reporting transaction level property, plant, equipment and inventory values that are subsequently incorporated into Air Force financial statements.

Responsible Manager

The IPMS office of primary responsibility and responsible manager are:

AFPCA/GADE
Program Manager
Louis Blake

DSN: 224-2104
Comm: (703) 224-2104
Fax: (703) 614-4156
E-mail: Louis.Blake@comm.hq.af.mil

Functional Category

The IPMS initiative is system level initiative that impacts the following functions:

- Inventory Tacking and Accounting
- Resource Planning and Reporting
- Personal and Real Property Tracking and Accounting

U.S. Air Force
Integrated Logistics System - Supply (ILS-S)

Description   The Integrated Logistics System - Supply (ILS-S) will be the U.S. Air Force (USAF) standard base-level inventory accounting and ordering management system for the USAF. The system will also provide limited financial management to support the Supply Management Activity Group (SMAG).

The ILS-S integration initiative will utilize state-of-the-art technology to achieve accurate, near real-time logistics information that when combined with financial information supports the customer in all operational environments.

The ILS-S integration initiative provides total asset visibility and positive asset control for materiel in the following areas:

- Warehouse
- in-transit
- in maintenance repair
- in the custody of user organizations while deployed

The goal of the system is to provide reliable weapon system availability through stock availability and time definite delivery of materiel while continually improving service and cost performance. The ILS-S will provide direct supply support to active USAF units, the Guard, and the Reserve during peace and war, at fixed man bases, bare bases, and deployed locations.

The ILS-S integration initiative will focus on:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving data accuracy
- replacing the current supply system using a commercial-off-the-shelf (COTS) based solution

Title and Description of Nonconformance

The ILS-S integration initiative is currently under development. An integrated process team (IPT) supported by the Defense Finance and Accounting Service (DFAS), SSG, LMFS, and the Air Force Audit Agency (AFAA) was formed to work on all ILS-S and Standard Materiel Accounting System (SMAS) interface issues. The interface issues include data required for various transactions and also Chief Financial Officers' (CFO) Act requirements in the Software Requirements Specifications document.

Source of Discovery of Nonconformance

General process improvement prompted the ILS-S integration initiative. The Standard Base Supply System (SBSS) was identified as the core increment for Global Combat Support System – Air Force (GCSS-AF) which modernizes 20 plus Air Force Base Level Systems.

Objectives

The ILS-S integration initiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- improve internal controls
- achieve accurate near real-time logistics information by utilizing state-of-the-art technology

The ILS-S will be technologically modernized into Defense Information Infrastructure/Common Operating Environment (DII/COE), COTS based, and form the basis for development and deployment of other logistics systems.

Responsible Manager

The ILS-S integration initiative office of primary responsibility and responsible manager are:

HQ SSG/ILS Logistics Information Systems Program Office
<u>Program Manager</u>
COL Rufus Manning

DSN: 596-4441
Comm:
Fax:
E-mail:

Functional Category

The ILS-S integration initiative is a function level initiative that impacts, Inventory Tracking and Accounting.

The ILS-S integration initiative is part of a larger initiative. ILS-S is the first Automated Information System (AIS) to be built using the GCSS-AF contract and concepts. The goal of the Logistics Information Systems Program Office is to deliver integrated logistics capabilities that execute on a common platform, using shared data to the maximum extent possible. ILS-S is merely the first step in meeting this goal.

Supporting System Architecture

The ILS-S integration initiative transmits data to the following system:

Destination	Data Supplied	Rating
Standard Materiel Accounting System (SMAS)	Inventory Transactions (receipts, issues, catalog changes, funds checks, and acquisition funds)	Timely and Accurate

Any transactions processed in ILS-S that affects inventory will be passed from ILS-S to SMAS to perform the applicable financial update. There is also a requirement for ILS-S to have a mechanism to ensure SMAS has received all data and that the financial portion of the transaction can be traced back to the transaction in supply.

Milestones

The following milestones apply to the ILS-S integration initiative:

Action	Begin Date	End Date
Need Established	Aug 95	
First Task Order (high level design and prototype)	Feb 97	Dec 97
ALPHA Delivery (user orientation prototype)	Aug 98	
BETA Delivery (basic supply functionality excluding equipment and war-time requirements)	Aug 99	
IOC (all basic capability-turn off legacy system) at 2 bases	May 00	
FOC (full capability) at 2 bases	Aug 00	
Worldwide Fielding	Aug 01	

The milestone dates listed above are tentative and may change due to schedule revisions.

Benefits   A qualitative benefit of the ILS-S integration initiative includes being a COTS based replacement of an existing system, supported by organic personnel that results in reduced maintenance cost.

U.S. Air Force
Integrated Maintenance Data Systems (IMDS)

Description

The Integrated Maintenance Data Systems (IMDS) is focusing on Year 2000 compliancy at full fielding.

The IMDS compliancy initiative is a single, evolving, and integrated information technology program to provide all persons with maintenance-related information they need to do their jobs. The IMDS compliancy initiative is the standard Air Force system for maintenance production support and the collection and processing of equipment maintenance information.

Title and Description of Nonconformance

The IMDS compliancy initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, manual intervention, and inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the following areas:

- data accuracy
- internal controls
- ability to communicate with other communities

Source of Discovery of Nonconformance

The action that prompted the IMDS compliancy initiative was general process improvement.

Objectives

The IMDS compliancy intiative will produce results in the following areas:

- implement Year 2000 (Y2K) compliant systems
- integrate feeder systems

Responsible Manager	The IMDS compliancy initiative office of primary responsibility and responsible manager are:

AF/ILMM
<u>Program Manager / Point of Contact</u>
Colonel William J. Comer / John Gay

DSN:
Comm: (703) 693-9836
Fax:
E-mail: gayj@pentagon.af.mil

Functional Category	The IMDS initiative is a system level initiative that impacts the Personal and Real Property Tracking and Accounting function.

Supporting System Architecture

The IMDS compliancy initiative transmits data to the following system:

Destination	Data Supplied	Rating
GAFS	Property Data	Timely and Accurate

Benefits

The qualitative benefits of the IMDS compliancy initiative are providing support for maintenance production, and collection and processing of equipment maintenance information.

U.S. Air Force
Manufacturing Resources Planning II (MRP II)

Description   Manufacturing Resources Planning II (MRP II) replaces the following two systems:

- Aerospace Maintenance and Regeneration Center Cost and Billing System (AMRC-CBS), D003B
- Aerospace Maintenance and Regeneration Center - Asset Control System (DMIF-ASSET), D003A.

The MRP II replacement initiative provides a full general ledger system to account for aircraft maintenance, reclamation, and regeneration activities at the Aerospace Maintenance and Regeneration Center (AMARC) at Davis-Monthan Air Force Base (AFB). Implementation is expected by December 1998.

The MRP II replacement initiative will focus on integrating with other communities.

Title and Description of Nonconformance   The MRP II replacement initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance   The action prompting the MRP II replacement initiative was the need to account for aircraft maintenance, reclamation, and regeneration at the AMARC.

Objectives   The MRP II replacement initiative will produce results by integrating feeder systems.

Responsible Manager

The MRP II replacement initiative office of primary responsibility and responsible manager are:

AMARC
Program Manager
LTC Dave Bonham

DSN: 228-8526
Comm:
Fax:
E-mail:

Functional Category

The MRP II replacement initiative is a function level initiative that impacts, Property, Plant, and Equipment.

Supporting System Architecture

The MRP II replacement initiative transmits data to the following system:

Destination	Data Supplied	Rating
General Accounting and Finance System (GAFS)	Surplus Equipment	Timely and Accurate

Milestones

The following milestone apply to the MRP II replacement initiative:

Action	Begin Date	End Date
Implementation	Oct 98	Dec 98

U.S. Air Force
On-Line Vehicle Interactive Management System (OLVIMS)

Description

The On-Line Vehicle Interactive Management System (OLVIMS), O009, provides workload control, work order processing, schedule maintenance tracking, labor hour accountability, and report generation capabilities for managing maintenance of the Air Force vehicle fleet. The OLVIMS is an existing system with no replacement plans.

The OLVIMS will focus on integrating with other communities.

Title and Description of Nonconformance

The OLVIMS corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the OLVIMS was the need to provide data on maintenance of the Air Force fleet of vehicles.

Objectives

The OLVIMS will produce results by integrating feeder systems.

Responsible Manager

The OLVIMS office of primary responsibility and responsible manager are:

AF/ILTV
Program Manager
Robert I. Wiley

DSN: 227-3374
Comm:
Fax:
E-mail:

Functional Category

The OLVIMS is a system that impacts, Property, Plant, and Equipment.

Supporting System Architecture   The OLVIMS transmits data to the following system:

Destination	Data Supplied	Rating
GAFS	Vehicle Data	Timely and Accurate

Benefits   The following are qualitative benefits of the OLVIMS:

- workload control
- work order processing
- schedule maintenance tracking
- labor hour accountability
- report generation

U.S. Air Force
Personnel Data System (PDS)

Description

The overall objective of the Personnel Data System (PDS), E300, is to modernize the military personnel data system by reengineering functional processes to effectively utilize computers in an open-systems based infrastructure while providing a standard hardware platform across the Service. The civilian module of PDS will be replaced by the Defense Civilian Personnel System (DCPS). The military personnel module is in the process of being modernized.

The PDS replacement and modernization initiative collects, processes, stores, and communicates information on all the Air Force personnel related actions for civilians and military. The PDS replacement and modernization initiative feeds the financial implications of personnel actions such as new enlistees, new hires, and promotions to the Air Force's civilian and military payroll systems.

The PDS replacement and modernization initiative will include porting all current capabilities to large database machines and varying sized servers while implementing business process improvement applications for use at every organizational level. This open system environment will provide a high level of support to the current and future military PDS replacement and modernization in a manner which most efficiently makes use of existing state-of-the-art Automated Data Processing (ADP) resources.

The PDS replacement and modernization initiative will focus on integrating with other communities.

Title and Description of Nonconformance

The PDS replacement and modernization initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The action prompting the PDS replacement and modernization initiative was the need to process the Air Force Personnel related actions.

Objectives

The PDS replacement and modernization initiative will produce results by integrating feeder systems.

Responsible Manager

The PDS replacement and modernization initiative office of primary responsibility and responsible manager are:

AFPC
Program Manager
Curtis Arrington

DSN: 487-6380
Comm: (210) 652-4725
Fax: (210) 652-3776
E-mail: arrington@hq.mpc.af.mil

Functional Category

The PDS replacement and modernization initiative is a function level initiative that impacts, Personnel.

Supporting System Architecture

The PDS replacement and modernization initiative transmits data to the following systems:

Destination	Data Supplied	Rating
Defense Joint Military Pay System (DJMS)	Military Pay Information	Timely and Accurate
Defense Civilian Pay System (DCPS)	Civilian Pay Information	Timely and Accurate

Benefits

A qualitative benefit of the PDS replacement and modernization initiative is the creation of an open systems environment that provides a high level of support to the current and future military personnel data system in a manner which most efficiently makes use of existing state-of-the-art Automated Data Processing (ADP) resources.

U.S. Air Force
Recoverable and Consumable Item Stratification (RCIS), D200N

Description   The Recoverable and Consumable Item Stratification (RCIS), D200N, is being updated to communicate with the Recoverable and Consumable Item Computation (D200A), the replacement for Recoverable Consumption Item Requirements System (D041), and to integrate with the seamless supply system GCSS. The RCIS integration initiative is part of the larger initiative, RMS technical refresh of D200A and D200N.

The RCIS integration initiative is the chief financial feeder of the U.S. Air Force (USAF). The RCIS integration initiative compares peacetime and war readiness assets against requirements for the Air Force recoverable items.

The RCIS integration initiative provides printed stratification reports at item level and various summary levels with indication of items subject to:

- buy
- repair
- termination
- disposal

The RCIS integration initiative provides item prices as an interface to the D043 cataloging system that in turn sends the price to other systems. For example, Fuels Inventory Accounting and Billing System (FIABS), D035J, values the Air Force inventories from this price data. The RCIS is also used as the chief source of information to the DD1000 annual inventory to Congress. The RCIS is regularly updated with price changes.

The RCIS integration initiative will focus on the following:

- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- updating to communicate with the Recoverable and Consumable Item Computation (D200A), the replacement for Recoverable Consumption Item Requirements System (D041), and to integrate with the seamless supply system GCSS

No significant changes are being made to system functionality. No known changes are being made that affect or relate to compliancy with the Chief Financial Officers' (CFO) Act.

Source of Discovery of Nonconformance

The following actions prompted the RCIS integration initiative:

- general process improvement
- updating an antiquated technical environment

Responsible Manager

The RCIS integration initiative office of primary responsibility and responsible manager are:

HQ AFMC/LGN
Program Manager
Barry Oliver

DSN:
Comm: (937) 257-8129
E-mail:

Functional Category

The RCIS integration initiative is part of the larger initiative, RMS technical refresh of D200A and D200N.

Milestones

The following milestones apply to the RCIS integration initiative:

Action	Begin Date	End Date
Need Established: Requirements Management System (RMS) technical refresh (RMS includes D200A and D200N	Jun 97	
Completion		FY00

U.S. Air Force
Reliability and Maintainability Information System (REMIS) G099

Description   The Reliability and Maintainability Information System (REMIS),
G099, integrates existing systems and incorporates state-of-the-art
technology to meet current and projected management information
requirements. The REMIS integration and accountability initiative
improves the accuracy of information received without requiring
dedicated connections, by maintaining standard USAF edit tables.

The REMIS integration and accountability initiative is the central
common source of all unclassified maintenance and selected supply
information for the U.S. Air Force (USAF) weapons systems. The
REMIS integration and accountability accumulates, validates (if not
previously edited at the collection source), processes, stores, and makes
accessible to USAF managers world-wide, the data necessary to keep
weapon systems combat ready in peace and sustain them in war.

The REMIS integration and accountability was developed
incrementally, and final integration of the system occurs when all
increments (or sub-systems) are completed. The REMIS integration and
accountability provides on-line access to the following categories of
unclassified data as they pertain to Aerospace Vehicles, Trainers,
Automatic Test Equipment (ATE), Communications-Electronics (C-E)
equipment, Support Equipment (SE), engines, and other MDC
reportable items including:

- equipment inventory
- multiple status
- utilization
- operation reliability
- maintenance
- configuration
- time compliant technical orders

This transaction-driven system collects the majority of its data from
USAF standard base and depot level systems without hindering these
systems' performance. The REMIS integration and accountability
improves the accuracy of information received without requiring
dedicated connections, by maintaining standard USAF edit tables.
These tables are pushed to base or depot level systems to allow editing
the data at the source.

**Title and
Description of
Nonconformance**

The REMIS integration and accountability initiative corrects weaknesses that have a critical impact on financial operations or data that involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

**Source of Discovery
of Nonconformance**

The action prompting the REMIS integration and accountability initiative was the need for logistics support.

Objectives

The REMIS integration and accountability initiative will provide results in integrating feeder systems.

**Responsible
Manager**

The REMIS integration and accountability initiative office of primary responsibility and responsible manager are:

DoD USAF
Program Manager
Mildred Pitman

DSN:
Comm: (937) 429-6459
Fax:
E-mail:

**Functional
Category**

The REMIS integration and accountability initiative is a function level initiative that impacts, Property, Plant, and Equipment.

**Supporting System
Architecture**

The REMIS integration and accountability initiative transmits data to the following system:

Destination	Data Supplied	Rating
GAFS	Aircraft Inventory	Timely and Sometimes Accurate

Benefits   The qualitative benefits of the REMIS integration and accountability
                      initiative are to provide:

- equipment inventory
- multiple status
- utilization
- operation reliability
- maintenance
- configuration
- time compliance technical orders

U.S. Air Force
Requirements Data Bank (RDB)

Description   The primary function of the Requirements Data Bank (RDB) system is
to forecast and control procurement and repair requirements of materiel
needed for logistics support of weapon systems operated by the Air
Force. This consists of the automated and manual functions involved in
the Air Force Materiel Command (AFMC) Requirements Process.

Objectives   The objective of the RDB system is to provide information to determine
weapon system material procurement, spares, and repair requirements,
ensuring that logistics capabilities are not degraded.

Responsible   The   RDB system office of primary responsibility and responsible
Manager   manager are:

MSG/SMR
Program Manager
Pat DePasquali

DSN: 259-4819
Comm: (513) 259-4819
Fax: (513) 259-4881
E-mail:

Functional   The RDB system is system level initiative that impacts the following
Category   functions:

- Inventory Tracking and Accounting
- Procurement
- Resource Planning and Reporting

U.S. Air Force
Stock Control System (SCS)

Description   The Stock Control System (SCS) is being technically refreshed and
                        modernized under the AF Seamless Supply Chain Management effort
                        (Global Combat Support System (GCSS)-AF). The SCS modernization
                        initiative is a wholesale supply system that performs the functions of:

- processing requisitions
- providing customer status
- maintaining visibility of assets (by quantity, condition, and location)
- allocating and releasing assets
- providing Joint Total Asset Visibility (TAV) for both the Air Force
  and the Marine Corps

The SCS modernization initiative is a joint service system, with HQ
AFMC/LGN serving as executive agent. Within the Air Force, the SCS
modernization initiative maintains visibility of reparable and critical
consumable assets stored at retail base accounts and redistributes base
excess assets to fill backorders. The Air Force also uses the SCS
modernization initiative to preposition backorders for immediate
shipment from the receiving line when:

- assets are received
- issue and control materiel is provided to depot maintenance
- intransit tracking is performed
- order and ship times are computed
- aggregation accounts maintained
- controlling or issuing government furnished materiel provided to
  contractors
- logistics reassignments are performed

The current SCS modernization initiative is a fully integrated on-line,
real-time system composed of integrated sub-systems and processes (i.e.
D035A, D035B, D035C, D035E, D035K, D035L, and D035T). The
current SCS modernization initiative, along with the existing batch-
oriented D034A Special Stock Control System and the essentially
manual D143M Logistics Item Transfer System, are being technically
refreshed and modernized under the AF Seamless Supply Chain
Management effort GCSS-AF. The modernized D034A and D143M
processes will become part of the modernized SCS.

The SCS modernization initiative will be:

- web-enabled
- consist of Windows-type screens
- separate data from business applications to allow data warehousing
- compliant with Defense Information Infrastructure (DII) and Common Operating Environment (COE) regulations
- operate within a Shared Data Environment (SHADE)

The SCS modernization initiative business processes will also be reengineered as part of the modernization project. The SCS modernization initiative, "wholesale" supply functions and "retail" supply functions will interface seamlessly with the GCSS-AF and Seamless Supply System architecture.

The new SCS modernization initiative will correct "dirty data" problems caused by data loss and garbled data resulting from passing of data between interfacing systems (resolved by systems and users reading data placed in the data warehouse by the data owner or source system).

The new SCS modernization initiative will be in compliance with financial data requirements because it is being designed in conjunction with the Air Force Audit Agency (AFAA). An October 24, 1997 letter from HQ AFMC/LG, requested Management Advisory Service from the AFAA to ensure compliance with GAO and Federal Accounting System Advisory Board standards due to the importance of SCS modernization initiative as a feeder system to the Working Capital Fund (WCF) financial statements. The AFAA has provided a permanent member to participate in all aspects of SCS modernization initiative modernization (e.g. requirements, definition, design, and testing).

The SCS modernization initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- accessing internal controls
- improving data accuracy
- improving general system effectiveness
- provide an improved and modern system for use by item managers and other DoD-wide users

Title and Description of Nonconformance

The SCS modernization initiative corrects weaknesses that have a critical impact on financial operations or data that involves mission impairment requiring excessive overrides, work-arounds, and manual intervention in the areas of:

- internal controls
- data accuracy
- compliancy with regulations
- ability to integrate with other communities

Source of Discovery of Nonconformance

The following actions prompted the SCS modernization initiative:

- audit findings
- general process improvement
- desire to provide the most effective and modern system for easier use by item managers and customers
- desire to effectively share logistics data within the Air Force and with other services and agencies and to support and promote a Joint Total Asset Visibility (JTAV) capability project
- need for interoperability among services and agencies (GCSS compliance)
- need to achieve objectives of GCSS-AF Seamless Supply

Objectives

The SCS modernization initiative will produce results in the following areas:

- become compliant with regulations
- correct data inaccuracy
- improve internal controls

Responsible Manager

The SCS modernization initiative office of primary responsibility and responsible manager are:

HQ AFMC/LGN
Program Manager
Barry Oliver

DSN:
Comm: (937) 904-0160
Fax:
E-mail:

Functional Category

The SCS modernization initiative is a system level initiative that impacts the following functions:

- Inventory Tracking and Accounting
- Working Capital Fund Accounting
- Requisition Processing
- General Ledgers

Supporting System Architecture

The SCS modernization initiative receives source data from the following systems:

Source	Data Supplied	Rating
D043 Master Item Identification Control System (automated)	Cataloging Data (stock numbers and prices)	Sometimes Timely Sometimes Accurate
D002A, Standard Base Supply System (automated)	Base Customer Requisitions, Recoverable Assembly Management Process (RAMP) Data (base asset balances), Intransit Data	Sometimes Timely Sometimes Accurate
W001, Security Assistance Management Information System (automated)	Foreign Military Sales Customer Requisitions	Timely and Accurate
G009, Government Furnished Materiel (GFM) Transaction Reporting System (automated)	Contractor, Contract, Item Quantity Authorized as Related to GFM	Timely and Accurate
D067, Defense Materiel Utilization and Disposal Program Management System (automated)	Disposal transactions	Timely and Accurate
G402A, EPS (automated)	Depot Maintenance Issue Requests	Timely and Accurate

The SCS modernization initiative transmits data to the following systems:

Destination	Data Supplied	Rating
D035J, Fuels Inventory Accounting and Billing System (FIABS) (automated)	Accountable Transactions (issues, receipts, and inventory adjustments), Asset Balances, Cataloging, and Price Data	Timely and Sometimes Accurate

Note: The SCS interfaces with approximately 69 data systems. Output to D035J represents the major financial information provided by SCS modernization. General information provided to other systems by SCS modernization may be used by those systems to maintain their own financial management data. It is presumed that those systems will provide their own information regarding CFO Act compliance and sources of their data.

Milestones   The following milestones apply to the SCS modernization initiative:

Action	Begin Date	End Date
SCS Technical Refresh Requirement Identified by HQ AFMC/LGNM	Jul 96	
Contract Awarded for First Phase	Sep 97	
SCS Technical Refresh Modernization Seamless Supply and Seamless Logistics GCSS-AF		FY 01

Benefits   The qualitative benefits of the SCS modernization initiative include:

- modern, effective, user-friendly system (e.g. web-enabled, Windows screens, data separated from business applications, and data warehousing) for use by item managers, and other services, and agencies
- elimination of unnecessary data interfaces and maintenance of duplicate data; data warehouse becomes provider for authoritative source data supplied by data owner for access by all users and data systems needing information
- resolution of current "dirty data" problems, there is no need to pass data through multiple systems

- system in compliance with financial data requirements
- material weaknesses resolved during system technical refresh and modernization
- system programmed in accordance with streamlined, reengineered business processes
- DII/COE compliance and Shared Data Environment resulting in seamless interaction of processes (e.g. wholesale and retail supply functions) and interoperability of DoD Military Department and Defense Agency data systems
- improved JTAV by providing SCS data to JTAV Global Web via SCS Web data server

U.S. Air Force
Transfer of Management Responsibility Project

Description

The Transfer of Management Responsibility Project identifies CFO Act-related design deficiencies and recommends changes.

The Transfer of Management Responsibility Project compliancy initiative identified applications, modules or portions of the Air Force financial, non-financial, and mixed systems that perform finance and accounting functions. These systems provide information supporting accounting, funds control, budgetary requirements, and asset accounting at the operational level as well as at the departmental level.

The systems interact to produce various inputs for status of funds reports and financial and management information required for the production of CFO financial statements. This information became the basis for the inventory of feeder systems within the Air Force and led to the Air Force Audit Agency reviews of selected systems to identify CFO Act-related design deficiencies and recommend changes.

The Transfer of Management Responsibility Project compliancy initiative focus on:

- integrating with other communities
- accessing internal controls
- improving data accuracy

Title and Description of Nonconformance

The Transfer of Management Responsibility Project compliancy initiative corrects weaknesses that have a critical impact on financial operations or data that produces inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the ability to integrate with other communities.

Source of Discovery of Nonconformance

The following actions prompted the Transfer of Management Responsibility Project compliancy initiative:

- audit findings
- general process improvement

Objectives

The Transfer of Management Responsibility Project compliancy initiative will produce results in the area of integrating feeder systems.

Responsible Manager

The Transfer of Management Responsibility Project compliancy initiative office of primary responsibility and responsible manager are:

SAF/FMPS and DFAS-DE/AM
Program Manager
Jake Bakker and Richard Anderfuren

DSN: 926-8192
Comm:
Fax:
E-mail:

Functional Category

The Transfer of Management Responsibility Project compliancy initiative is a cross functional initiative that impacts the following functions:

- Inventory Tracking and Accounting
- Procurement
- Personal and Real Property Tracking and Accounting
- Resource Planning and Reporting

Milestones

The following milestones apply to the Transfer of Management Responsibility Project compliancy initiative:

Action	Begin Date	End Date
Need Established	Oct 93	
Final Report	Nov 93	Aug 96
Feeder Systems Inventory	Aug 96	Ongoing

Benefits

The following are qualitative benefits of the Transfer of Management Responsibility Project compliancy initiative:

- identified mixed systems that contain property, plant, and equipment or inventory information
- identified potential areas for improvement in efficiency for a number of systems as provided by the Air Force points of contact and documented in the individual system assessment reports
- identified procedures by which confidence in the data provided to accounting and finance systems may be improved
- recommended performance of the Air Force Section 2 and Section 4 Federal Managers; Financial Integrity Act (FMFIA) reviews, leading to strengthened internal controls

Defense Commissary Agency (DeCA)
Point of Sale - Modernization (POS-M)

Description

The Point of Sale – Modernization (POS-M) creates a single, consistent architecture that adheres to open systems standards, greatly reducing the Defense Commissary Agency's (DeCA's) support burden. The POS-M will also produce results in implementing a Year 2000 (Y2K) compliant system. The POS-M initiative will focus on consolidating and standardizing systems.

The POS-M standardization and compliancy initiative processes customer purchases, captures sales and financial data from purchases, produces management reports, and provides information to other Defense Commissary Agency (DeCA) business systems.

Title and Description of Nonconformance

There are no weaknesses that have a critical impact on financial operations for the POS-M standardization and compliancy initiative to correct.

Source of Discovery of Nonconformance

The action prompting the POS-M standardization and compliancy initiative was general process improvement.

Objectives

The POS-M standardization and compliancy initiative will produce results in implementing a Year 2000 (Y2K) compliant system.

Responsible Manager

The POS-M standardization and compliancy initiative office of primary responsibility and responsible manager are:

DeCA / Information Management
Program Manager
John Goodman

DSN: 539-3832
Comm: (804) 734-8833
Fax: (804) 765-3218
E-mail: GoodmanJd@HqLee.deca.mil

Functional Category

The POS-M standardization and compliancy initiative is a function level initiative that impacts, Inventory Tracking and Accounting.

Supporting System Architecture

The POS-M standardization and compliancy initiative receives source data from the following systems:

Source	Data Supplied	Rating
BCS	Defense Travel System (DTS) Honored Checks	Timely and Accurate
DeCA Interim Business Systems (DIBS)	Prices	Timely and Accurate

The POS-M standardization and compliancy initiative transmits data to the following systems:

Source	Data Supplied	Rating
Store Item Management and Merchandise Support System (SIMMSS)	Item Movement Data	Timely and Accurate
Industry	Item Movement Data	Timely and Accurate
Standard Procurement System (SPS)	Debit or Credit Card Requests	Timely and Accurate

Milestones

The following milestones apply to the POS-M standardization and compliancy initiative:

Action	Begin Date	End Date
Need Established	Apr 93	Aug 93
Deployment	Nov 96	Dec 98
Completion of Contract		Jan 04

Benefits   The following are qualitative benefits (process improvement benefits)
                        for the POS-M standardization and compliancy initiative:

- increases the number of payment methods accepted in commissaries
- reduces the amount of time commissaries spend reconciling close-of-business totals and preparing deposit forms
- enables use of wireless cash registers during commissary sidewalk sales to monitor moneys collected and goods sold
- captures all transactions electronically (electronic journaling), simplifying the auditing of a commissary or cashier
- provides extensive cashier productivity and security information,
- provides capability of a "just–in-time" inventory replenishment strategy
- provides capability to perform "end-to-end" network management (diagnosing problems, trouble shooting, and maintenance of all DeCA sites from remote locations)
- creates a single, consistent architecture that adheres to open systems standards, greatly reducing DeCA's support burden
- characteristics of the new Point of Sale (POS) system include simplicity, speed, acceptability, reusability, accuracy, reliability, adaptability, quality, service life, and versatility

Defense Finance and Accounting Service (DFAS)
Automated Time, Attendance, and Production System (ATAAPS)

Description   The Automated Time, Attendance, and Production System (ATAAPS) eliminates the following local legacy Source Data Automation (SDA) systems:

- Time and Labor System (TLS)
- Army Missile Command Automated Timecard Entry System (MATES)
- Real Time and Attendance Processing System (RETAPS)
- Time, Attendance, Labor, and Productivity Reporting System (TALPRS)
- Electronic Timekeeping System (ETS)

The ATAAPS will be enhanced to ensure compliancy with laws and regulations.

The ATAAPS consolidation and compliancy initiative is being fielded as the Defense Finance and Accounting Service (DFAS) standard SDA system for the time and attendance (T&A) and labor and production (L&P) reporting.

The DFAS selected the ATAAPS consolidation and compliancy initiative to be the standard SDA system for activities using the Defense Civilian Payroll System (DCPS) and the Defense Business Management System (DBMS), that require edited labor data.

The ATAAPS consolidation and compliancy initiative will be enhanced to:

- link with new customer accounting systems
- satisfy new customer requirements
- ensure compliance with laws and regulations

The ATAAPS consolidation and compliancy initiative is currently operational at 68 activities throughout the Department, which includes the DFAS, the Navy Working Capital Fund (NWCF) sites, and most of the Army Materiel Command (AMC). The remaining AMC sites not yet using ATAAPS are planned to begin conversion to the ATAAPS Windows version by the end of fourth quarter FY 1998. The DLA is now implementing the ATAAPS mainframe version in four increments during the period January through June 1998.

Title and Description of Nonconformance

The following are barriers and impediments of the ATAAPS consolidation and compliancy initiative:

- coordination with other interfacing systems consolidation plans
- any system changes required, may impact ATAAPS' ability to deploy in consonance with other systems' conversion schedules

Objectives

An objective of the ATAAPS consolidation and compliancy initiative is the complete implementation of ATAAPS throughout the AMC, eliminating the following local legacy SDA systems:

- Time and Labor System (TLS)
- Army Missile Command Automated Timecard Entry System (MATES)
- Real Time and Attendance Processing System (RETAPS)
- Time, Attendance, Labor and Productivity Reporting System (TALPRS)
- Electronic Timekeeping System (ETS)

The ATAAPS consolidation and compliancy initiative will be implemented at activities using DBMS, as directed by the DFAS.

The ATAAPS consolidation and compliancy initiative will prototype and field a Windows-based Graphical User Interface (GUI) client-server version of ATAAPS to current mainframe users. The ATAAPS also prototypes the interface developed for the Defense Joint Accounting System (DJAS) and plan for future deployments to Army installations.

Responsible Manager

The ATAAPS consolidation and compliancy initiative office of primary responsibility and responsible manager are:

DFAS-HQ/DDPD
Program Manager
T.J. Heavyside

DSN: 699-3153
Comm: (317) 510-3153
Fax: (317) 510-3067
E-mail:

Supporting System Architecture

The ATAAPS consolidation and compliancy initiative transmits data to the following systems:

Destination	Data Supplied
Cost Accounting System	Edited Labor and Production Data
Payroll System	Certified Time and Attendance Data

Resource Requirements

The total investment through September 30, 1997 is $8.0 million.

The total cumulative investment cost through implementation (excluding maintenance) is $47.9 million through FY 2005.

The following are implementation costs for the ATAAPS consolidation and compliancy initiative in then years and millions:

FY98	FY99	FY00	FY01	FY02	FY03
$7.1	$5.0	$4.7	$4.6	$4.6	$4.6

Benefits

The following are qualitative benefits of the ATAAPS consolidation and compliancy initiative:

- provide a user-friendly standard SDA for single source input of T&A and L&P
- provide validated and edited L&P data to the cost accounting system
- provide electronically certified T&A data to the payroll system
- ensure sound internal controls (FMFIA requirement) through data security and audit trails
- support diverse customer needs through the system's flexibility to provide multiple reporting options and support of world-wide communications
- eliminate legacy T&A SDA systems

Defense Finance and Accounting Service (DFAS)
Civilian Personnel Resource Reporting System (CPRRS)
also referred to as Work Year and Personnel Cost (WYPC)

Description

The Civilian Personnel Resource Reporting System (CPRRS) focus for redesign is addressing a move from decentralized to centralized processing and data control. The CPRRS also consolidates the processing performed by multiple Automated Information Systems (AIS).

The CPRRS consolidation and redesign initiative is the Department of the Navy's (DoN) formal mechanism for tracking civilian personnel. The CPRRS consolidation and redesign initiative tracks hours worked, personnel costs, and associated information reported monthly for all Naval activities.

The CPRRS consolidation and redesign initiative will focus on:

- reengineering business practices
- consolidating and standardizing systems
- consolidating locations
- standardizing and warehousing data
- accessing internal controls
- improving infrastructure (personnel)
- improving data accuracy

Title and Description of Nonconformance

CPRRS consolidation and redesign initiative corrects weaknesses that have a critical impact on financial operations or data that impacts and involves inefficient and unnecessary procedures that result in the reduction of operational readiness or effectiveness in the areas of:

- data accuracy
- internal controls
- compliancy with regulations
- ability to integrate with other communities
- decentralized business practices with multiple systems, each with the potential for different logic

The DoN lacks standard, fully automated and consolidated CPRRS reporting capability. The focus for redesign of the DoN's CPRRS reporting, is addressing a move from decentralized to centralized processing and data control.

Source of Discovery   The sources of discovery of nonconformance for the CPRRS
of Nonconformance   consolidation and redesign initiative are the result of:

- business practice analysis of the DoN's Work Year Personnel Costs
  (WYPC) system
- system reviews
- CFO Act Mandates for Data Standardization
- requirements of the OMB and the U.S. Treasury

Impact on Current   The impact on current operations of the CPRRS consolidation and
Operations   redesign initiative includes, during the past year, the CPRRS Level II
                           workload of eight sites (Arlington, Great Lakes, Pensacola, Oakland,
                           New Orleans, Honolulu, San Diego, and Norfolk) was consolidated into
                           DFAS-CL/A. DFAS-CL/A is also preparing to take over responsibility
                           for Port Hueneme and the OCONUS sites as they are consolidated into
                           the Standard Accounting and Reporting System (STARS). From this
                           consolidation effort we have determined the need for a single system to
                           process all WYPC.

                           The CPRRS redesign is forthwith called WYPC redesign. The focus of
                           redesigning WYPC reporting is to centralize Defense Civilian Payroll
                           System (DCPS) source data Level I processing and provide output for the
                           field activity's use. This will unite the regional consolidation with Level
                           I processing and provide a centralized source of input for Level III
                           (DoN).

Objectives   The objectives of the WYPC initiative is the focus of re-designing WYPC
                           reporting to centralize DCPS source data, WYPC Level I processing, and
                           provide output for the field activity's use. This will unite the regional
                           consolidation with Level I processing and provide a centralized source of
                           input for Level III (DoN). Responsibility for the accuracy and validity of
                           WYPC would remain with the appropriate Authorization Accounting
                           Activity (AAA).

                           The WYPC data is used to:

- develop civilian personnel budgets
- monitor the Manage to Payroll (MTP) program
- generate reports for the Office of Personnel Management (OPM)
  and the Labor and Commerce Departments

The WYPC consolidation and redesign initiative will result in the following:

- implement Year 2000 (Y2K) compliant system
- become compliant with regulations
- eliminate duplication of systems
- correct data inaccuracy
- integrate feeder systems
- improve internal controls

Responsible Manager

The WYPC consolidation and redesign initiative office of primary responsibility and responsible manager are:

DFAS-CL/ABA-1
Program Manager
Donna Wolfe

DSN: 580-5907
Comm: (216) 522-5907
Fax: (216) 522-5244
E-mail:

Functional Category

The CPRRS consolidation and redesign initiative is a cross functional level initiative that impacts the following functions:

- Civilian Pay
- Resource, Planning and Reporting
- Personnel

The WYPC consolidation and redesign initiative reports civilian personnel resource hours and dollars to include specific Permanent Change of Station (PCS) items by pay plan and location code for budgeting and statistical analysis. In terms of a related improvement initiative, WYDC redesign is currently for the Navy and is a prototype for the Department.

Supporting System Architecture

The WYPC consolidation and redesign initiative receives source data from the following systems:

Source	Data Supplied	Rating
Defense Civilian Payroll System (DCPS)	Paid Labor and Fringe Benefits	Timely and Accurate
Defense Cash Reporting System (DCRS) and Centralized Expenditure and Reimbursement Processing System (CERPS)	Summary Civilian Paid Labor and Certain PCS Expenditures	Timely and Accurate
Centralized Master Edit Table (CMET) and Global Edit Table (GET)	Accounting Validation Data	Timely and Accurate

The WYPC consolidation and redesign initiative transmits data to the following systems:

Destination	Data Supplied	Rating
The DoN Office of Budget FMB 42	WYPC	Timely and Accurate

Milestones

The following milestones apply to the CPRRS/WYPC consolidation and redesign initiative:

Action	Start Date	End Date
Need Established	May 96	Jun 97
Consolidation of 8 sites into DFAS-CL/A	FY 97	
Program Managers Charter	Jun 97	Sep 97
Abbreviated System Decision Paper (Live Cycle Management (LCM) levels I, II, & III) (Waiting approval by DFAS-HC/C for ending date)	Sep 97	
Design and Development by Field Support Activity (FSA)-PE	Sep 97	Nov 98
Defense Information System Agency (DISA) New Business Request 98-20 (Waiting assignment of Mid Tier by DISA for end date)	Dec 97	
Completion		Nov 98

Resource Requirements

The total investment through September 30, 1997 is $692 thousand.

Total cumulative investment cost through implementation (excluding maintenance) is $1.0 million through FY 1998.

The following are implementation costs for the CPRRS and WYPC consolidation and redesign initiative in then years and in thousands:

FY98	FY99	FY00	FY01	FY02	FY03
$375	0	0	0	0	0

The following are full time staffing requirements for the WYPC consolidation and redesign initiative (total WYPC redesign):

FY98	FY99	FY00	FY01	FY02	FY03
5	5	1	1	1	1

The following are full time staffing requirements for the CPRRS and WYPC consolidation and redesign initiative for day to day operations:

FY98	FY99	FY00	FY01	FY02	FY03
17	16	16	16	16	16

Benefits

The WYPC consolidation and redesign initiative's return on investment (ROI) is 100 percent per year when compared to average savings over ten years with the original investment.

The payback point is January 2000.

The savings/investment (10yr) is $10.6 million from 1999 to 2008.

The following are quantitative benefits of WYPC consolidation and redesign initiative in then years and millions:

FY98	FY99	FY00	FY01	FY02	FY03
0	$0.8	$0.9	$0.9	$1.0	$1.0

The following are qualitative benefits of the WYPC consolidation and redesign initiative:

- Standardize the processing of WYPC input data
- Consolidate the processing performed by multiple AIS's
- provide centralized management, control, and processing of all WYPC data for the DoN

improve accuracy and timeliness of reports

Office of Under Secretary of Defense Acquisition & Technology (OUSD A&T) Information Technology Management (ITM) Automated Data Processing (ADP) Inventory Tracking System

Description   This automated system allows for the tracking and reporting of Automated Data Processing (ADP) office automation equipment installed throughout the Office of the Under Secretary of Defense Acquisition and Technology (OUSD A&T).

The Information Technology Management (ITM) ADP Inventory Tracking System is a feeder system to the Department of Defense ADP Inventory Management System, managed by the Defense Automation Resources Information Center (DARIC). The Acquisition Program Integration (API) Inventory Technology Management (ITM) office submits quarterly reports to the Washington Headquarters Services (WHS) Systems and Services (S&S) office, for Office of Under Secretary of Defense (OUSD) consolidation and reporting to DARIC.

The ITM and ADP will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing
- integrating with other communities
- improving data accuracy

Objectives   The ITM and ADP will produce results in the following areas:

- eliminate duplication of systems
- correct data inaccuracy
- improve internal controls

Responsible Manager

The ITM and ADP office of primary responsibility and responsible manager are:

OUSD(A&T)
<u>Program Manager</u>
Dave Lloyd

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Comm: (703) 695-2558
Fax: (703) 697-5017
E-mail: lloyddl@acq.osd.mil

Functional Category

The ITM and ADP is feeder system that impacts, Inventory Tracking and Accounting.

The ITM and ADP is part of the larger initiative, DoD ADP Inventory Tracking. The ITM and ADP system is a feeder system to the DoD ADP Inventory Tracking system maintained by DARIC.

Supporting System Architecture

The ITM and ADP receives source data from the following:

Source	Data Supplied	Rating
ADP procurement packages and various inventory tracking forms	Equipment Make, Model, Cost, Warranty, and Location	Timely and Accurate

The ITM and ADP transmits data to the following system:

Destination	Data Supplied	Rating
DARIC through WHS S&S	Inventory Adds, Changes, and Deletes	Timely and Accurate

Benefits

The following are qualitative benefits for the ITM and ADP:

- allows for automated tracking and reporting of A&T's ADP inventory
- provides automated interface with DARIC (through WHS S&S)

Department of Defense (DoD) Health Affairs
Health Standard Resource System (HSRS)

Description

The Health Standard Resources System (HSRS) will integrate medical Service-specific personnel, readiness, manpower utilization, workload and financial reporting, and business office processes into a single DoD Tri-Service system. The HSRS must interface with numerous Federal, DoD-standard, and Service-unique automated information systems (AIS), and provide integrated information processing capabilities. The HSRS shares information across facilities and services through improved system architecture.

The HSRS integration initiative will facilitate comprehensive resource planning, programming, enrollment-based capitation (EBC), analysis, and management under military treatment facility (MTF) direct care, managed care, TRICARE, military theater, readiness, and other Federal and private sector health services delivery environments.

The HSRS integration initiative will focus on the following:

- reengineering business processes
- consolidating and standardizing systems
- standardizing and warehousing data
- integrating with other communities
- improving data accuracy

Title and Description of Nonconformance

The HSRS integration initiative corrects weaknesses that have a critical impact on financial operations or data that impacts mission impairment requiring excessive overrides, work-arounds, and manual intervention relating to data accuracy.

Source of Discovery of Nonconformance

The following actions prompted the HSRS integration initiative:

- general process improvement
- audit findings
- Desert Shield and Desert Storm lessons learned
- changing technology

Objectives   The HSRS integration initiative will produce results in the following
                       areas:

- implement Year 2000 (Y2K) compliant system
- eliminate duplication of systems
- correct data accuracy
- integrate feeder systems
- improve internal controls

Responsible   The HSRS integration initiative office of primary responsibility and
Manager   responsible manager are:

                       Tricare Management Activity
                       Program Manager
                       LT Ed Rosas

                       DSN:
                       Comm: (703) 575-6779
                       Fax:
                       E-mail: Edwin.rosas@tma.osd.mil

Functional   The HSRS integration initiative is a system level initiative that impacts
Category   the following functions:

- Cost Accounting
- Time and Attendance
- Receivables and Collections
- Manpower
- Civilian Pay
- Readiness
- Personnel
- Military Pay
- Resource Planning and Reporting
- Education and Training

The HSRS integration initiative has the following smaller or related
initiatives:

- Expense Assignment System IV (EAS IV)
- Defense Medical Human Resource System (DMHRS)
- Centralized Credentials Quality Assurance System (CCQAS)
- Third Party Outpatient Collection System (TPOCS)
- legacy systems being prepared for termination

Supporting System Architecture

The HSRS integration initiative receives source data from the following systems:

Source	Data Supplied	Rating
Uniform Chart of Accounts Personnel System (UCAPERS)	Personnel and Cost	Timely and Sometimes Accurate
Standard Personnel Management System (SPMS)	Personnel and Cost	Timely and Sometimes Accurate
Air Force (AF) Personnel Subsystem	Personnel and Cost	Timely and Sometimes Accurate
Army Standard Finance System (STANFINS)	Obligations and Pay	Timely and Sometimes Accurate
Navy Standard Accounting and Reporting System (STARS)-FL	Obligations and Pay	Timely and Sometimes Accurate
Air Force Base Accounting System (AFBAS)	Obligations and Pay	Timely and Sometimes Accurate
Composite Health Care System (CHCS)	Workload	Untimely and Sometimes Accurate
TFMMS (Navy)	Manpower	Timely and Sometimes Accurate
BUMIS (Navy)	Manpower	Timely and Sometimes Accurate
MODS (Army)	Manpower	Timely and Sometimes Accurate
MRDSS (Air Force)	Readiness	Timely and Sometimes Accurate
SMRTS (Navy)	Readiness	Timely and Sometimes Accurate
Defense Civilian Pay System (DCPDS) (Tri-Service)	Civilian Pay	Timely and Sometimes Accurate
OLTDA (Army)	Manpower	Timely and Sometimes Accurate
OPINS (Navy)	Navy Officer Personnel	Timely and Sometimes Accurate
Navy Enlisted System (NES)	Navy Enlisted Personnel	Timely and Sometimes Accurate

The HSRS integration initiative transmits data to the following systems:

Destination	Data Supplied	Rating
Control Equipage Inventory System (CEIS) and Medical Expense Performance Reporting System (MEPRS) Central	Summary Level Cost Data	Timely and Accurate
Medical Expense and Performance Reporting System Executive Query System (MEQS)	Summary Level Cost Data	Timely and Accurate

Benefits   The following are qualitative benefits for the HSRS integration initiative:

- increased functionality in readiness and human resource management, manpower, education and training, personnel management, and financial management
- enhanced command strength management analysis and modeling,
- improved resource forecasting and budgeting
- expanded decision making capabilities for MHS and MTF and DTF leaders
- improved data quality, accuracy, integrity, and timeliness
- sharing of information across facilities and services through improved system architecture
- visibility into Reserve and National Guard information